



## Airborne LiDAR and Multi-Spectral Imagery

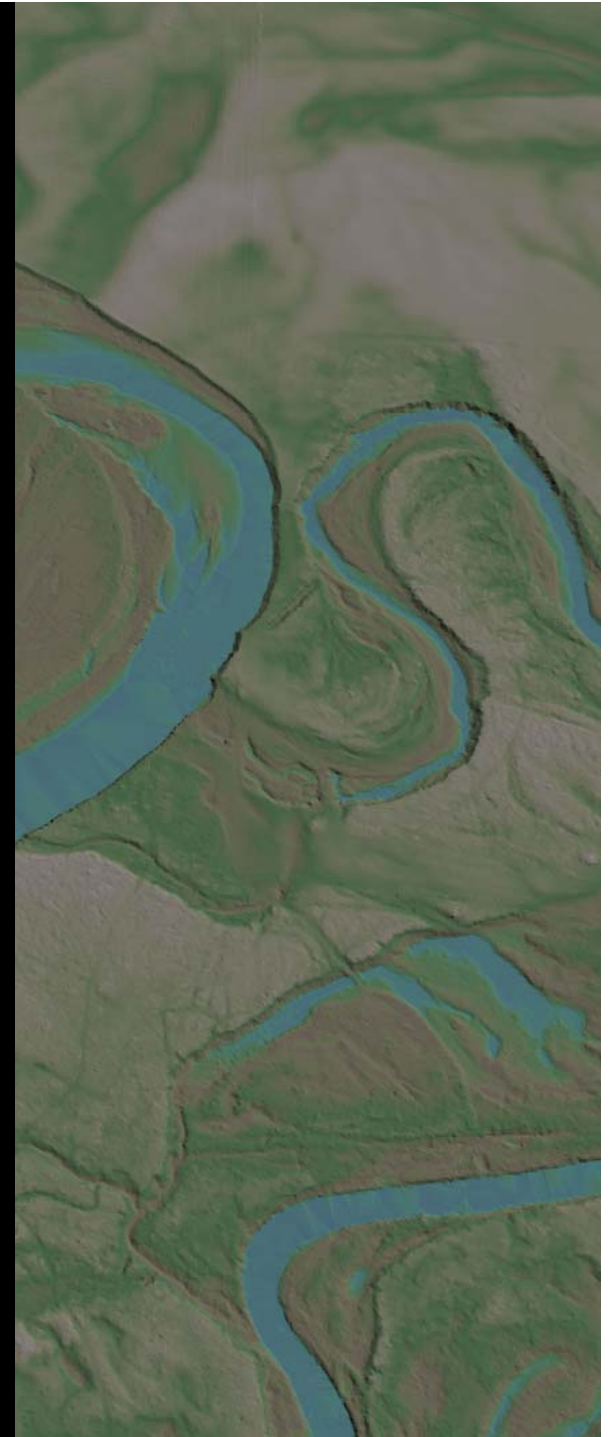
### Flathead Basin, MT

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517 SW 2<sup>nd</sup> Street, Suite 400  
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541-752-1204



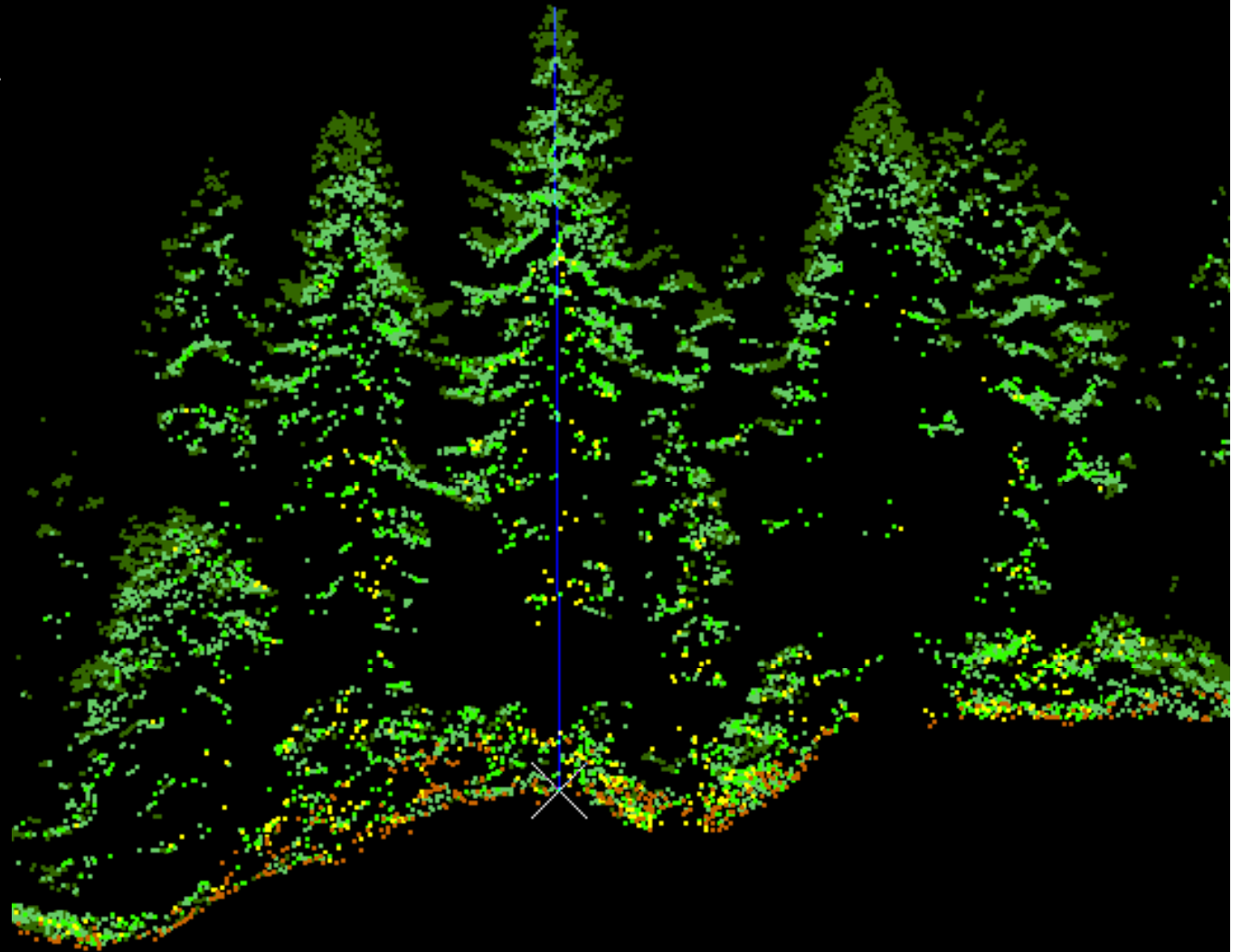
# Presentation Overview

- 1: Basic LiDAR Concepts
- 2: Flathead Basin Project
- 3: LiDAR Applications

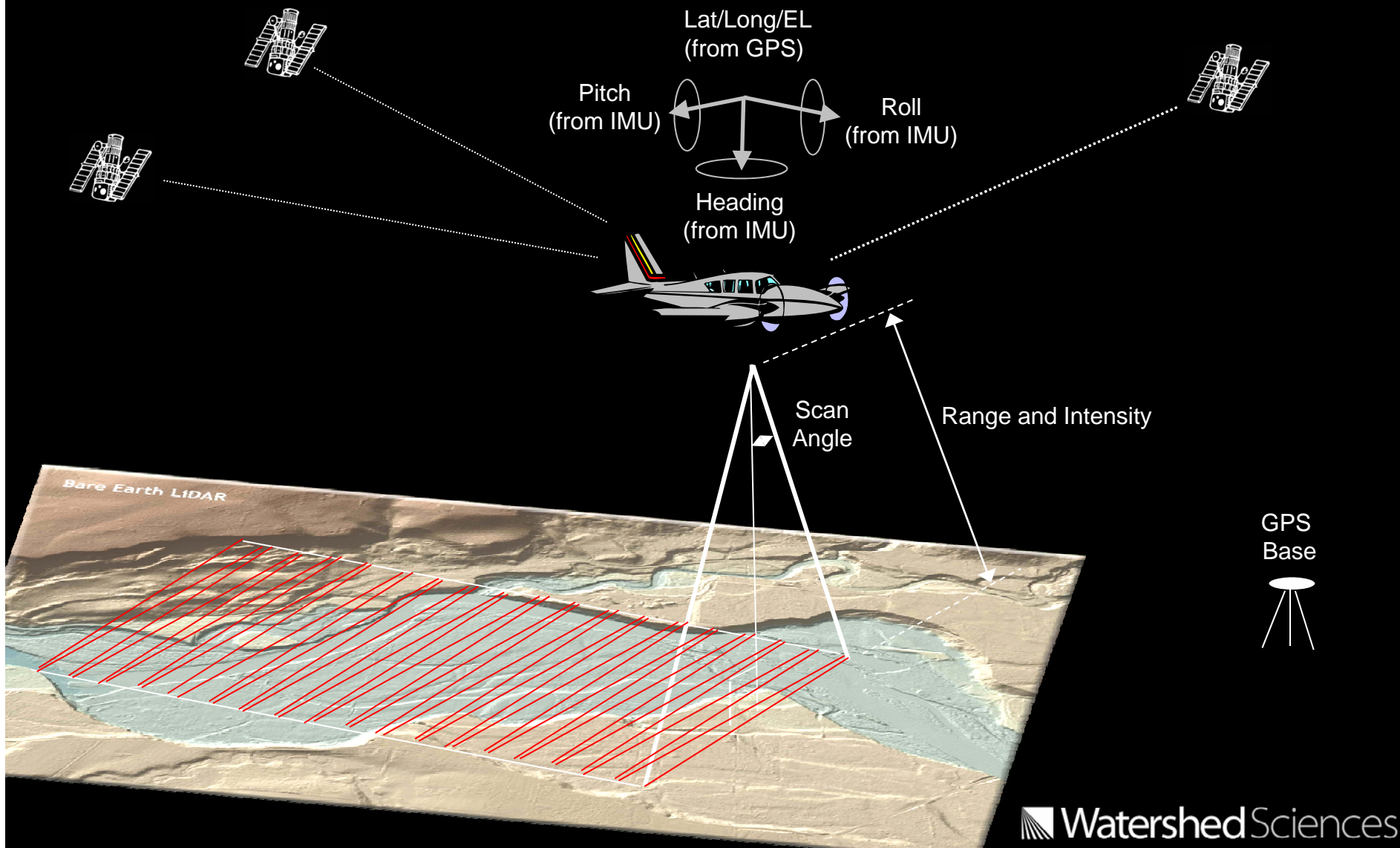


# Part 1: Light Detection and Ranging (LiDAR)

In its most basic form, LiDAR Data are just points



# Airborne LIDAR systems employ technologies that include...



# LiDAR Systems/Hardware

Airborne Platforms



Airborne LiDAR Systems



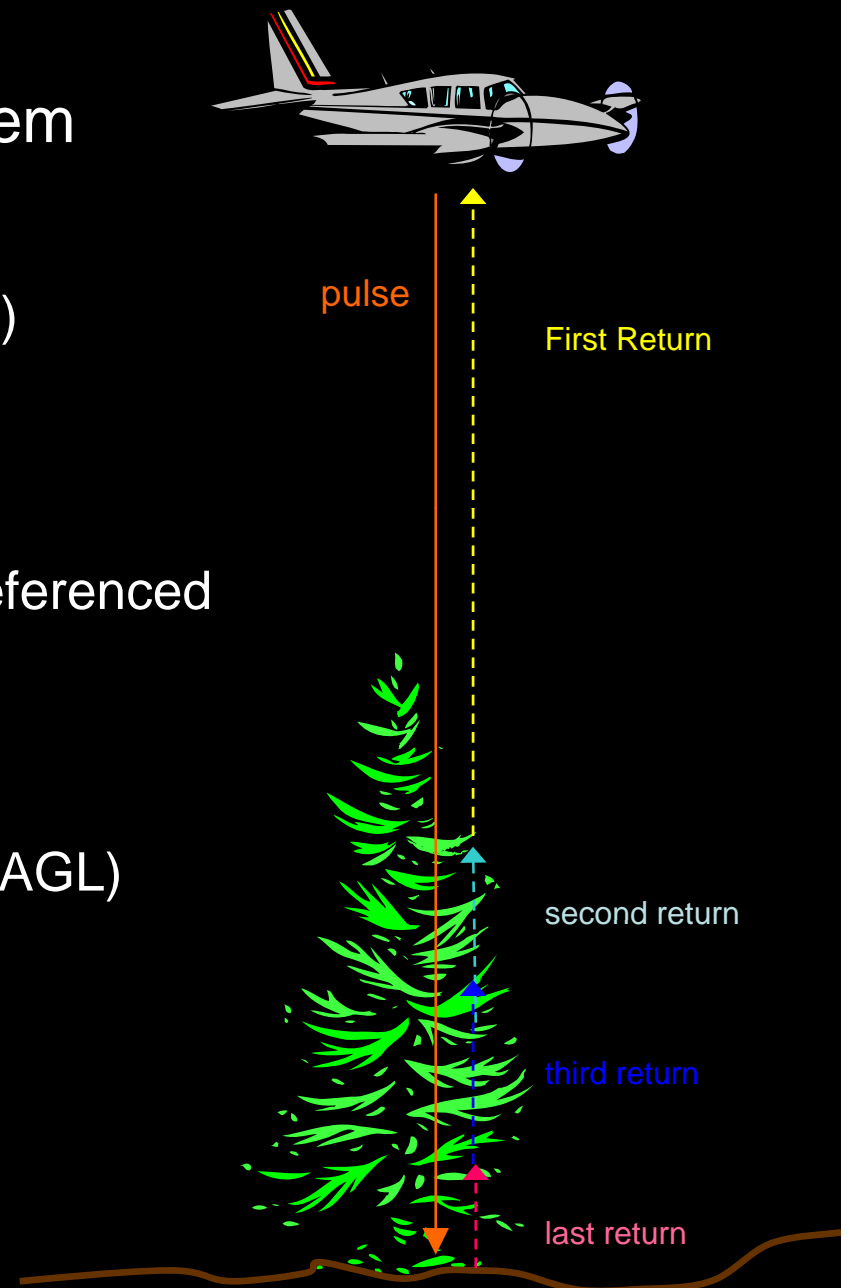
Ground GPS Systems



# Airborne LiDAR Concepts

small footprint, discrete return system

- Up to 200,000 pulses per Sec (200 KHz)
- Up to 4 returns per pulse
- Each LiDAR return is individually geo-referenced
- Records intensity of backscatter
- Sub-meter footprint (~22 cm @ 1000 m AGL)



# In its most basic form, LiDAR Data are just points

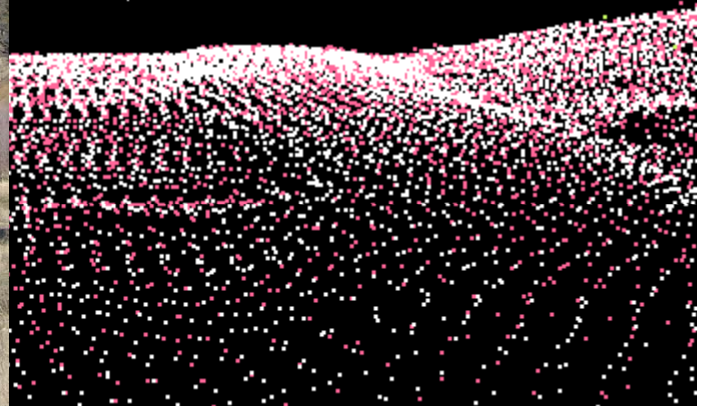
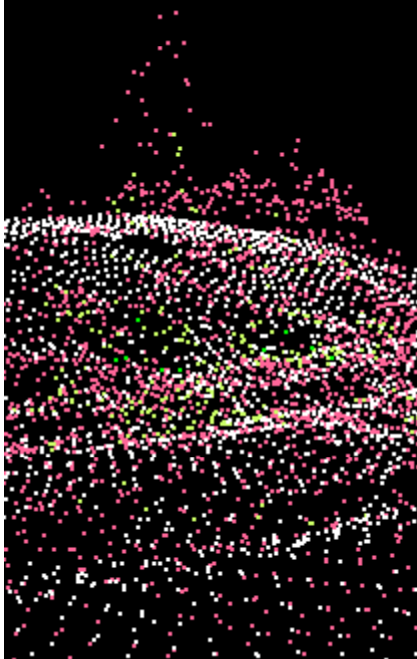
## *Ponderosa Pine and Juniper*



Multiple Laser Returns  
are Apparent

*First Return*  
*Second Return*  
*Third Return*  
*Fourth Return*  
*Ground Points*

Penetration  
↓



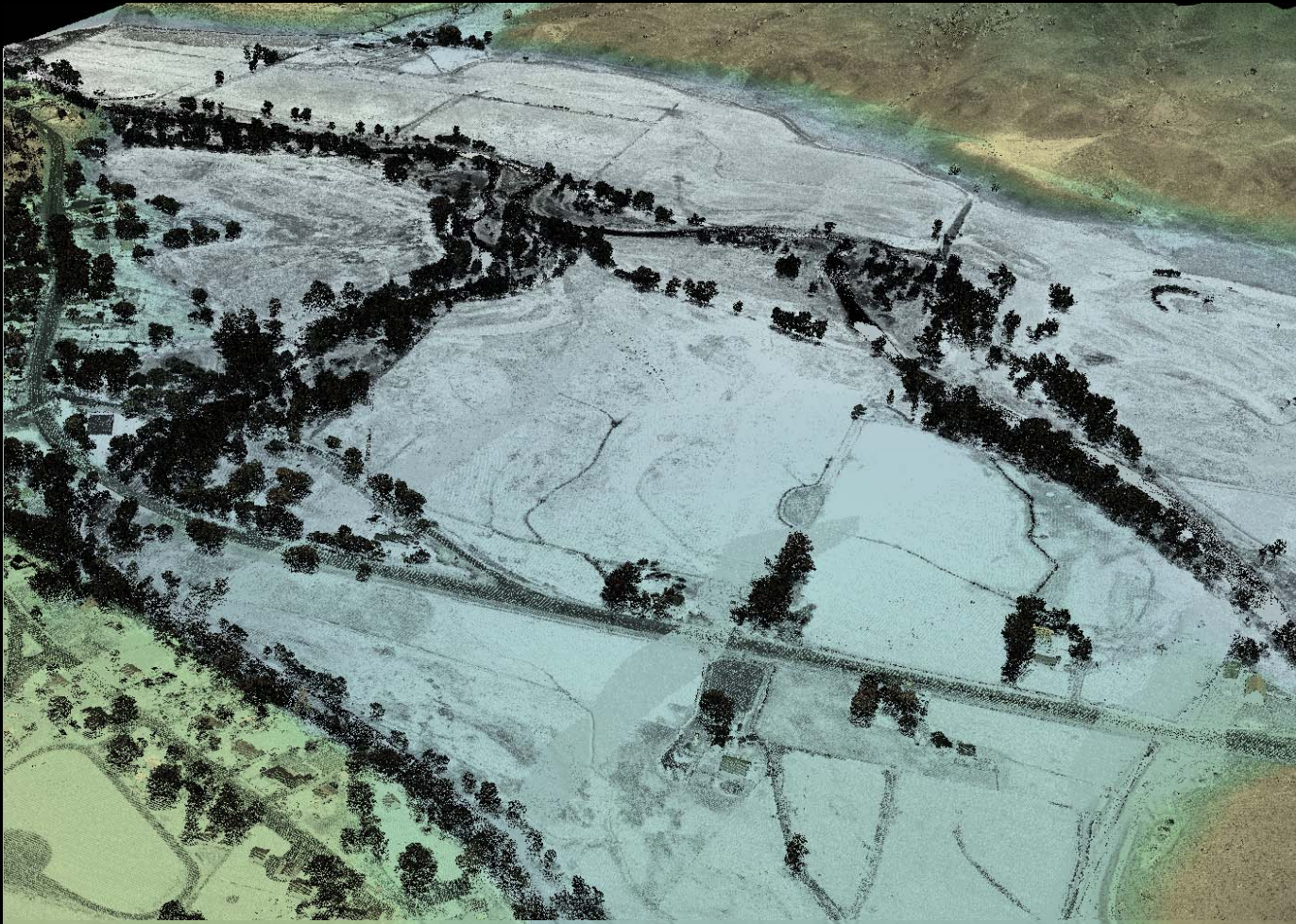
**LiDAR data are better when there are a lot of points...**





## Going from Points to Surfaces... Modeling Data

- Data clouds are massive
- TINs from data clouds
- GRIDs from TINs (Interpolated Surface)
- GRIDS are smaller and easier to work with



**All Returns  
Colored by Intensity**

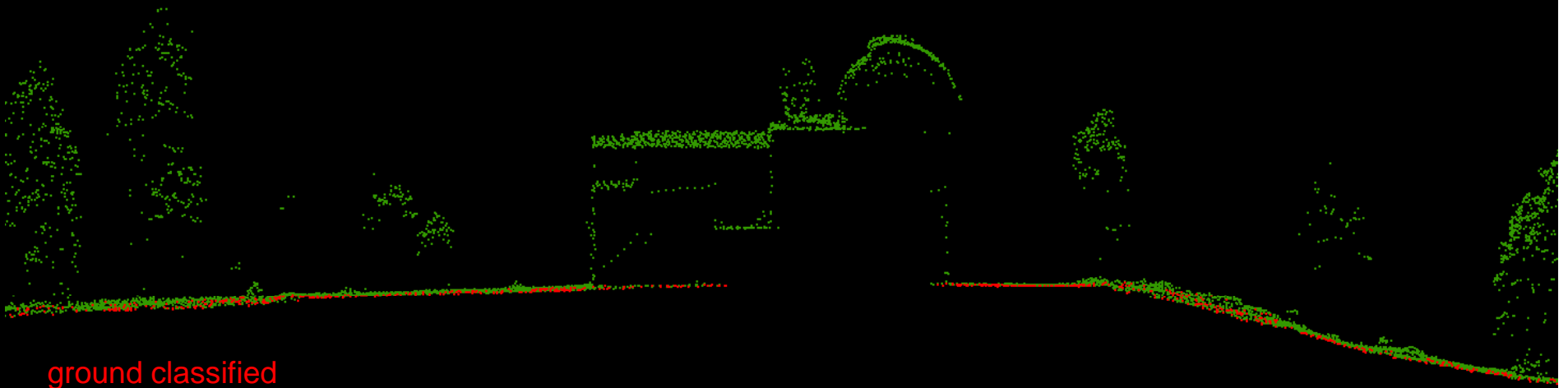
Dayville, OR

# Classifying Ground Returns

## LiDAR Returns 2-meter x-section



default

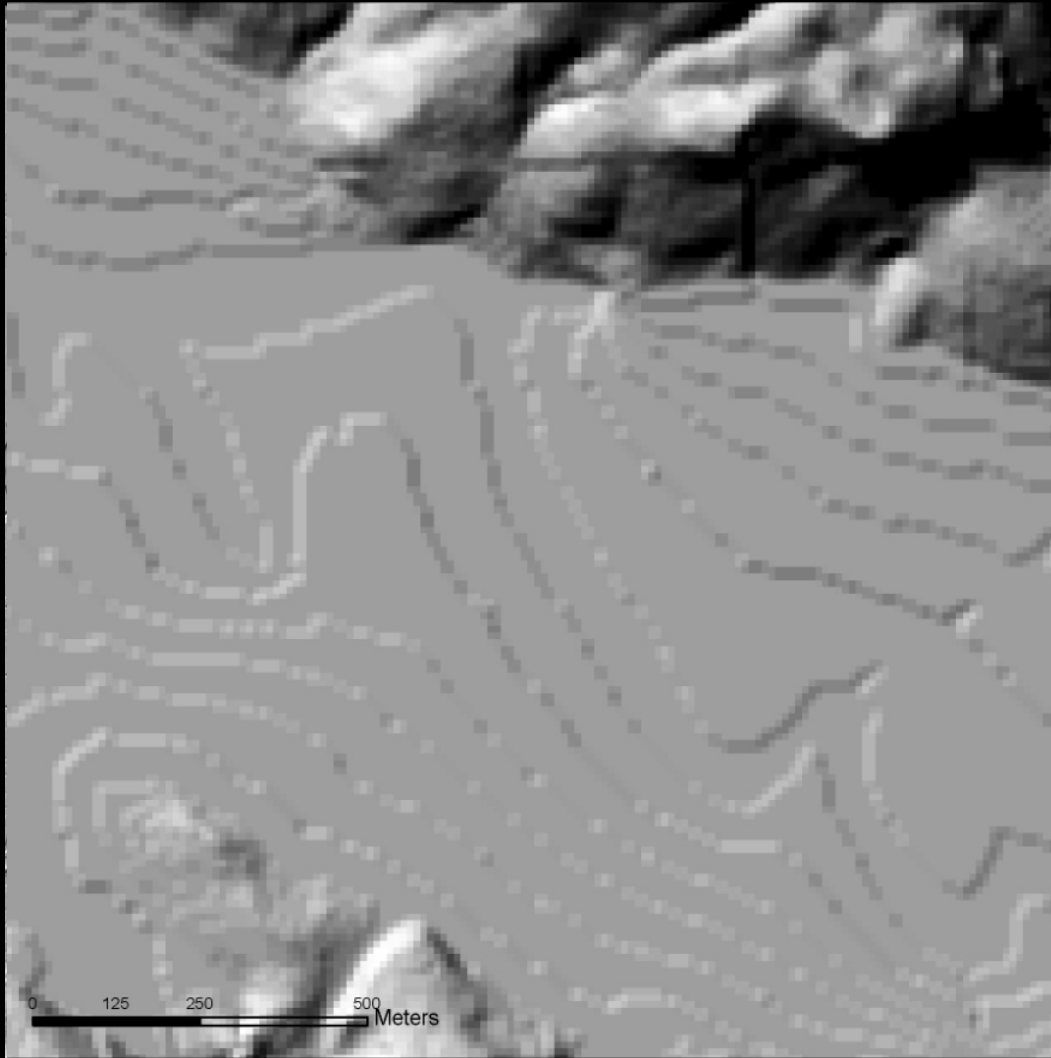


ground classified



**Going from Points to Surfaces... Modeling Data (1 meter resolution)**  
Looking Upstream of Black Canyon Creek Confluence

# 10-Meter DEM versus 1-meter DEM



# Data Accuracy...

- Relative: A measure of system calibration computed as the divergence between points from different flight lines
- Absolute: Compare known real-time kinematic (RTK) ground survey points to closest ground-classified laser point.
- Classification: A measure of accuracy of the resulting digital terrain model considering ground point classification and ground hit density.



# Assessing Absolute Accuracy:

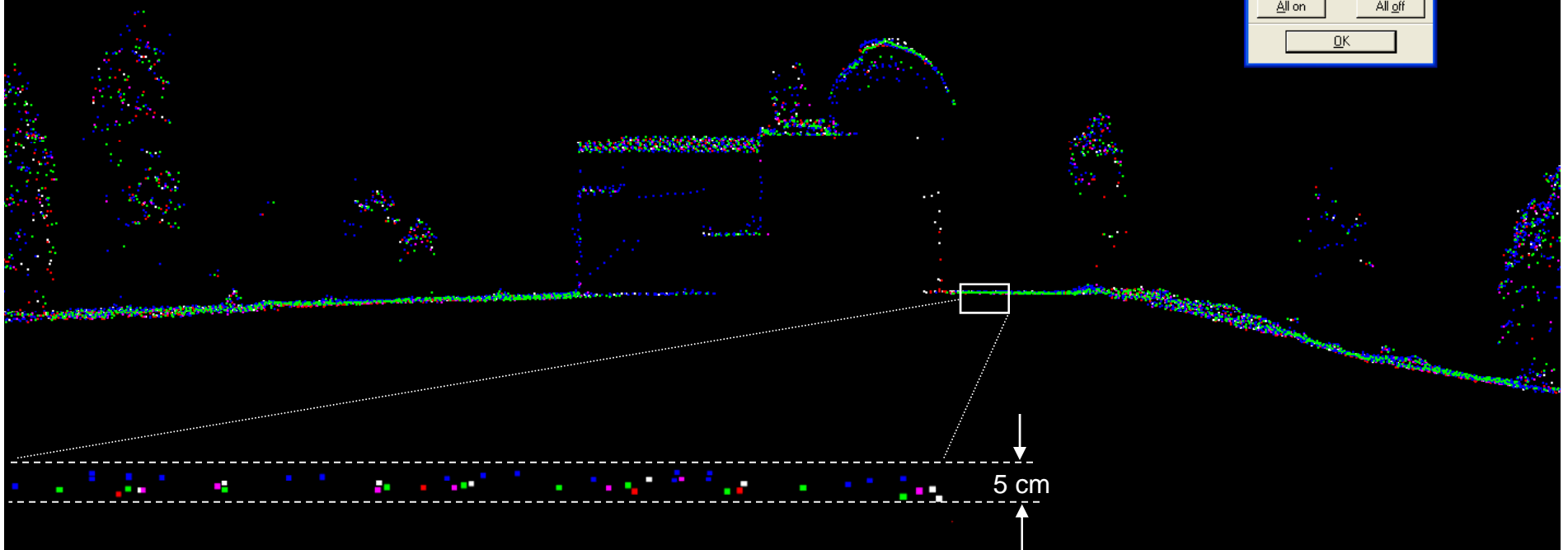
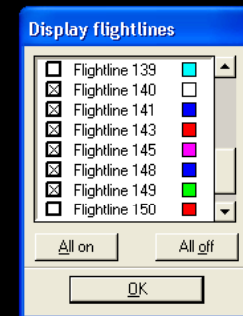
Measured as the deviation between ground survey and laser point data

- Assessed on open, hard surfaces
- Measured across multiple flight lines
- Statistical robustness of assessment (sample size & distribution)

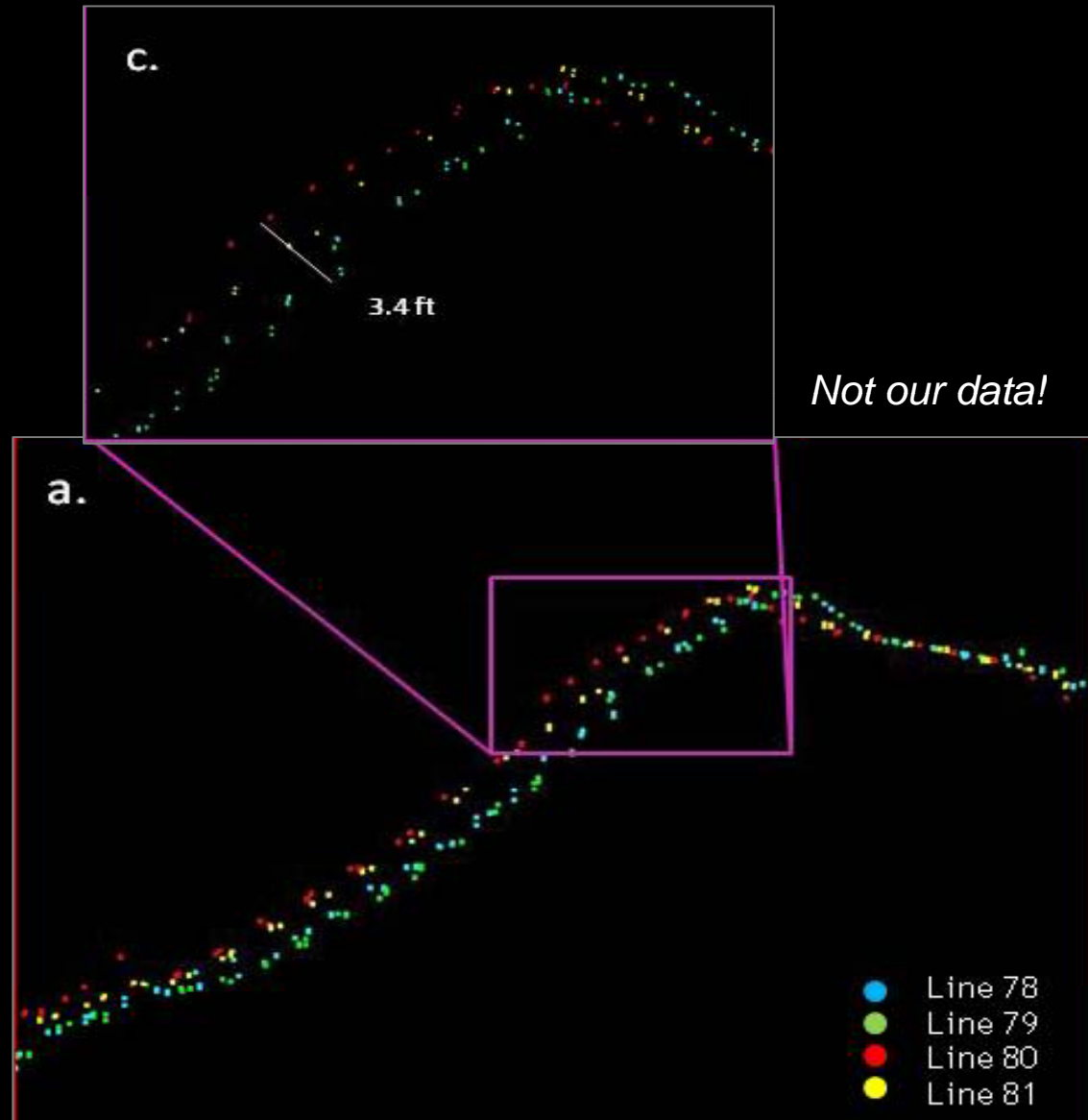


# Relative Accuracy Example

- A measure of system calibration
- Measured as the divergence of points from different flight lines



# Relative Accuracy: Another Example

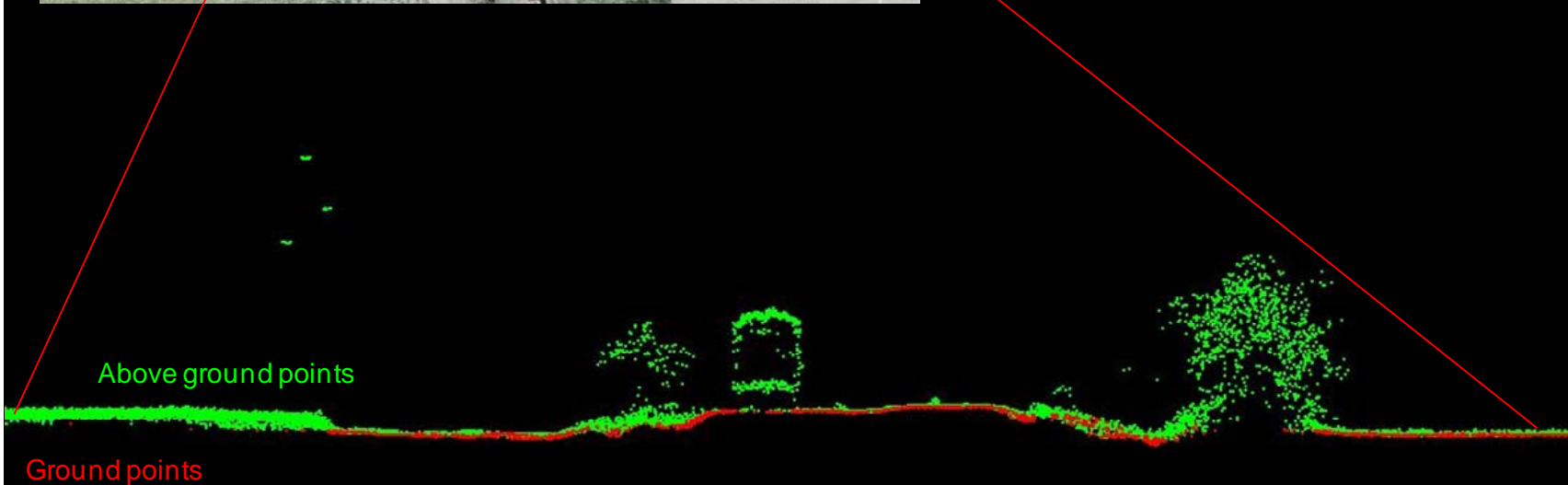
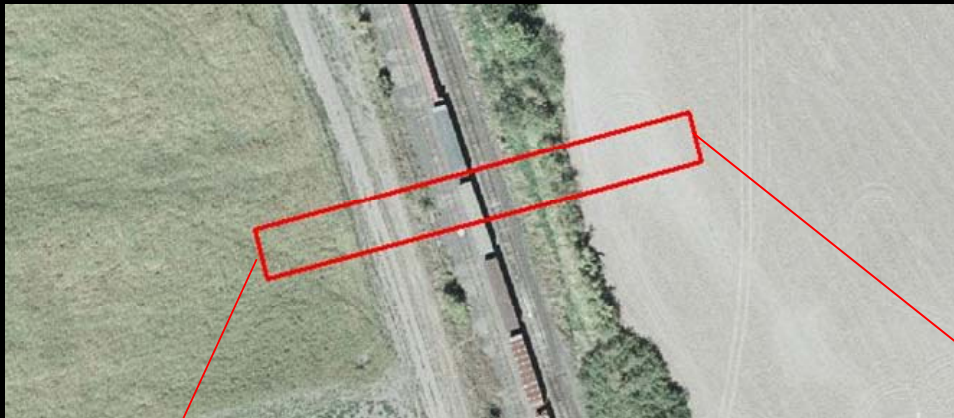




# Classification Accuracy

- Vegetation
- Bare Earth
- Water
- Buildings

- Visual QA/QC Checks on DTM
  - Anomalies in the terrain model
  - Comparison to air photos
- Comparison with ground check points
- Delineation of Areas of Low Confidence



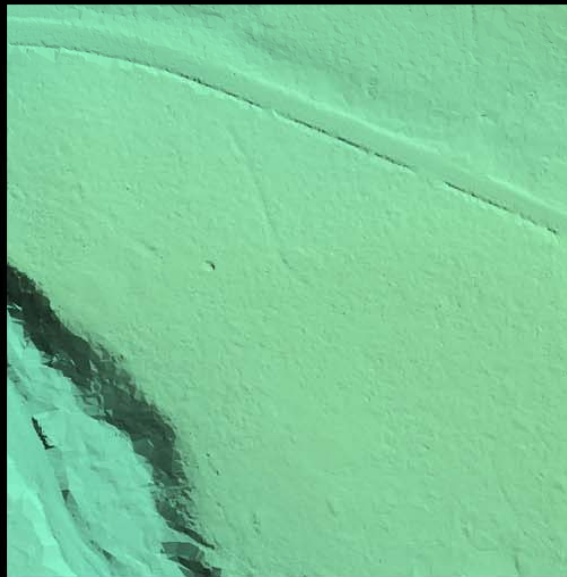
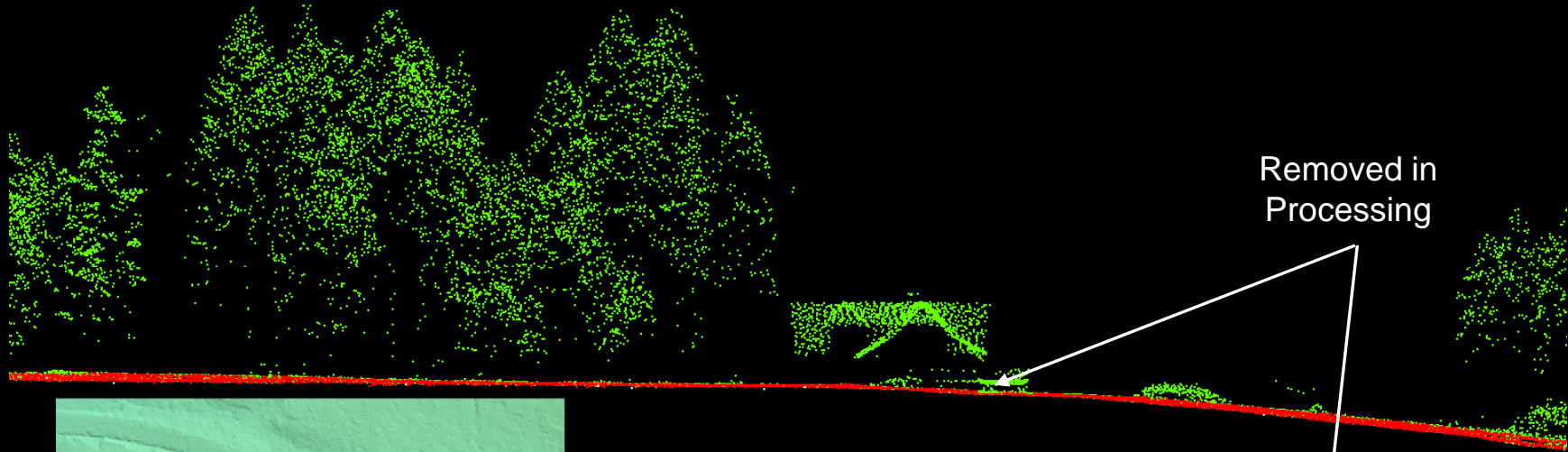
# Classification Accuracy Assessment

- Visual QA/QC Checks on DTM
  - Anomalies in the terrain model
  - Comparison to air photos
- Comparison with ground check points
  - FEMA: 20 distributed checkpoints on prevailing land cover types.
  - Independent of other check points.
- Delineation of Areas of Low Confidence
  - Ground return density versus slope.

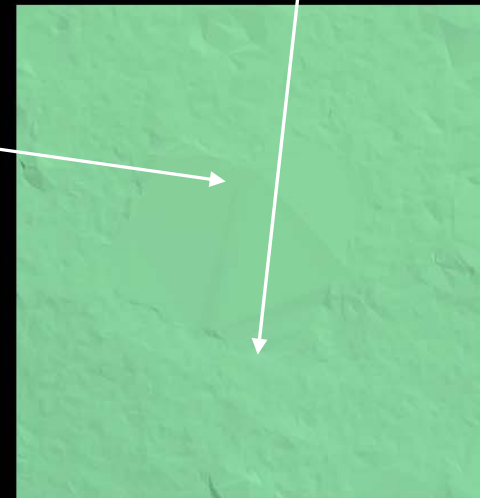


# Classification Accuracy Assessment

*QA/QC Inspection*



Removed in Processing



# Part 2: Flathead Basin

Data Acquisition (303,040 acres)

Airborne LiDAR (Sept 22-29, 2009)

8 pulses/m<sup>2</sup> - Swan Lake

4 pulses/m<sup>2</sup> - Remaining Areas

4-band Multispectral Imagery

(Sept 23-25, 2009)

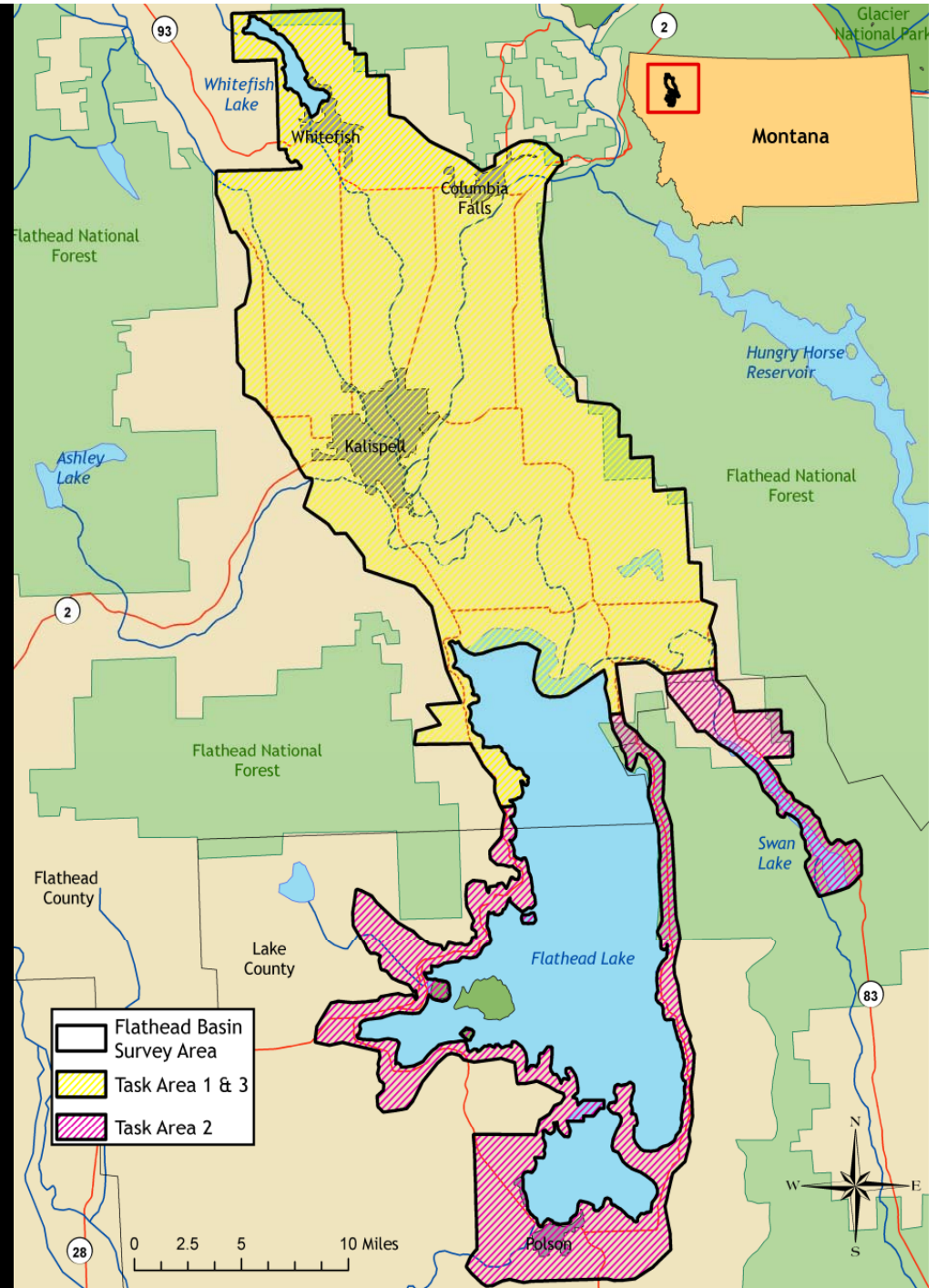
1- ft GSD

Ground Control Survey

Control Monuments

Land Cover Check Points

Pre-marks for Imagery



# Flathead Basin Project

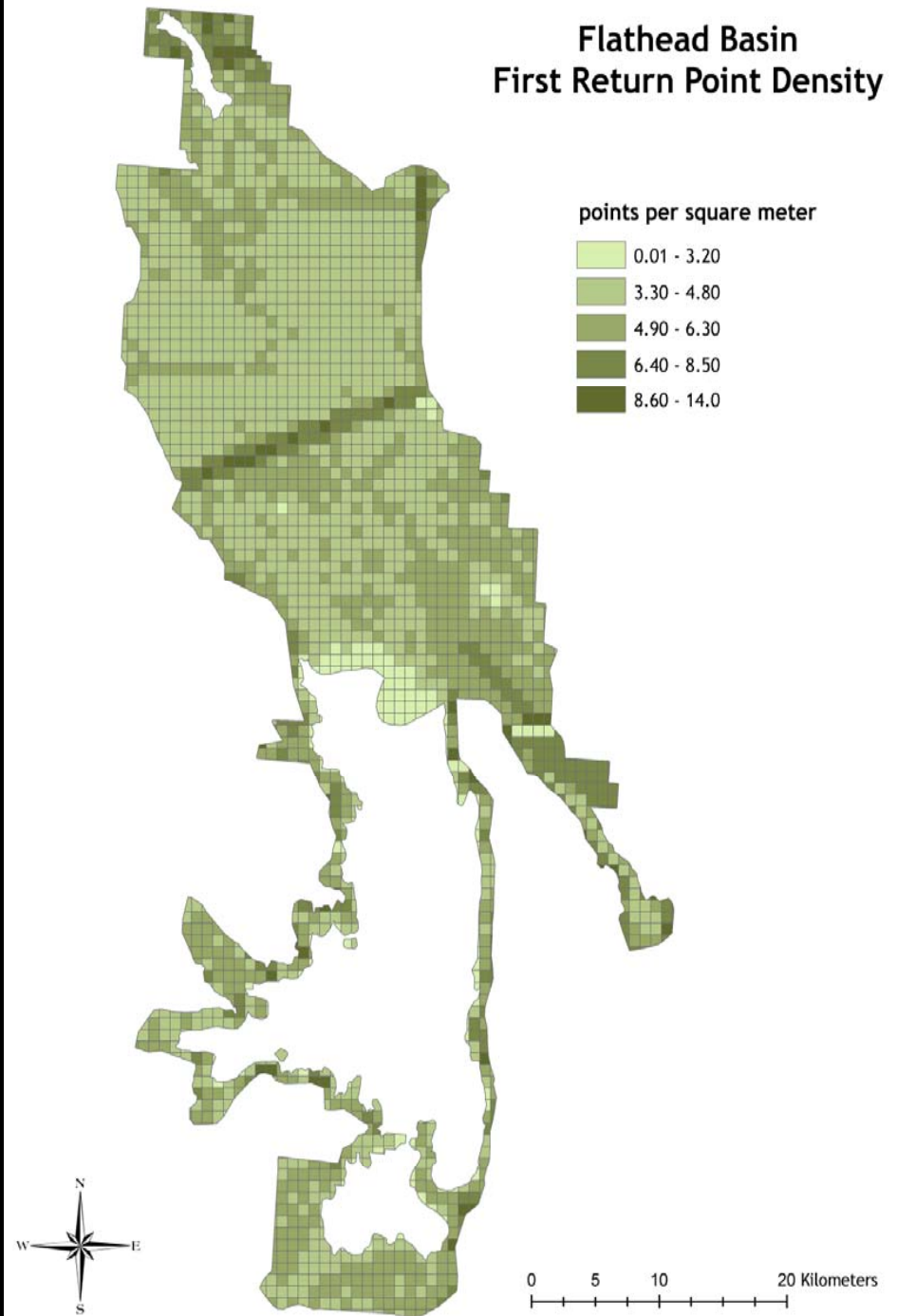
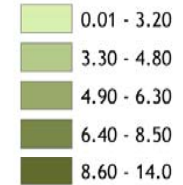
## Achieved Pulse Densities

Ground Point Density =  $1.55/\text{m}^2$  ( $0.14/\text{ft}^2$ )

First Return Density =  $4.93/\text{m}^2$  ( $0.46/\text{ft}^2$ )

### Flathead Basin First Return Point Density

points per square meter

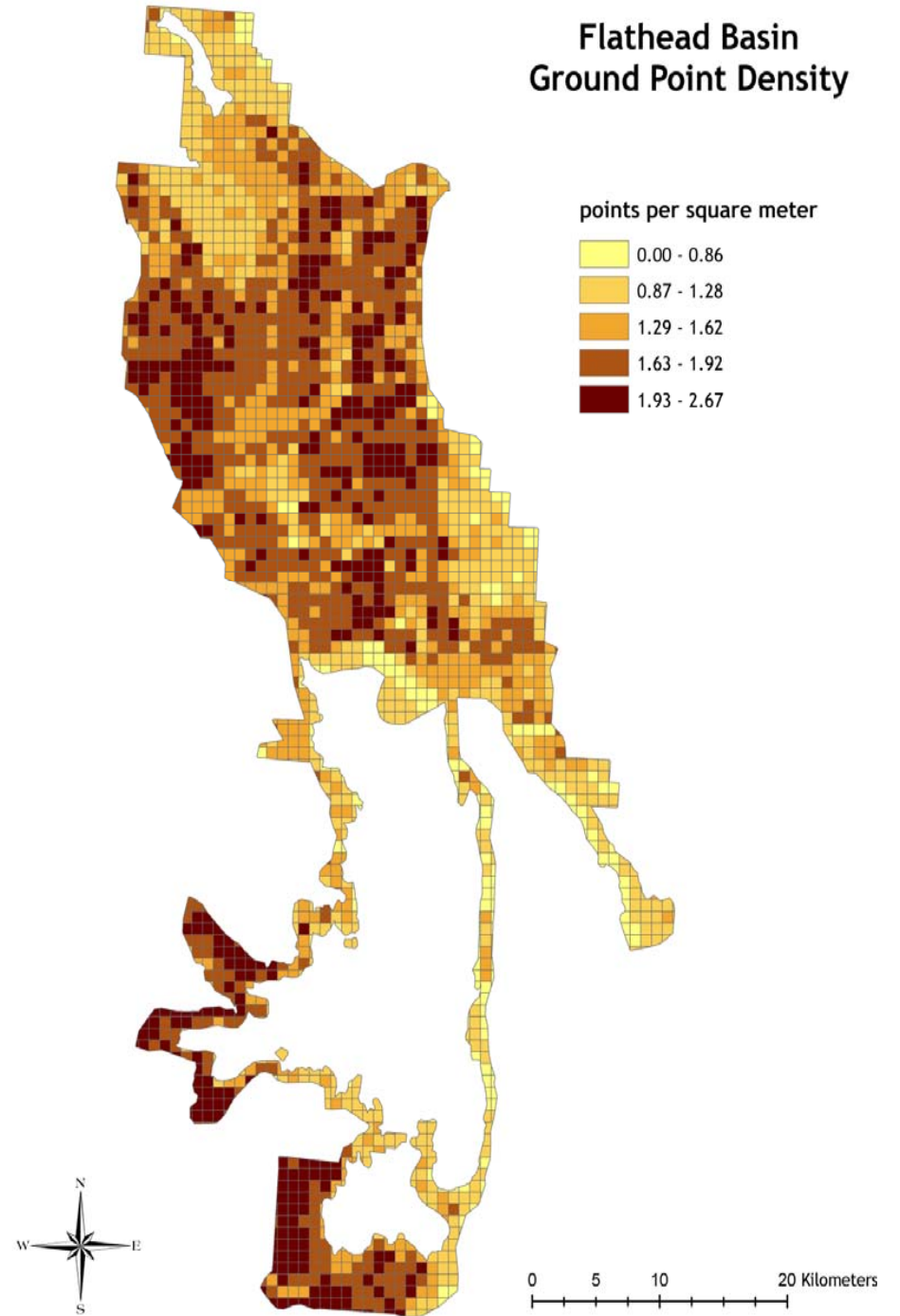


# Flathead Basin Project

## Achieved Pulse Densities

Ground Point Density =  $1.55/\text{m}^2$  ( $0.14/\text{ft}^2$ )  
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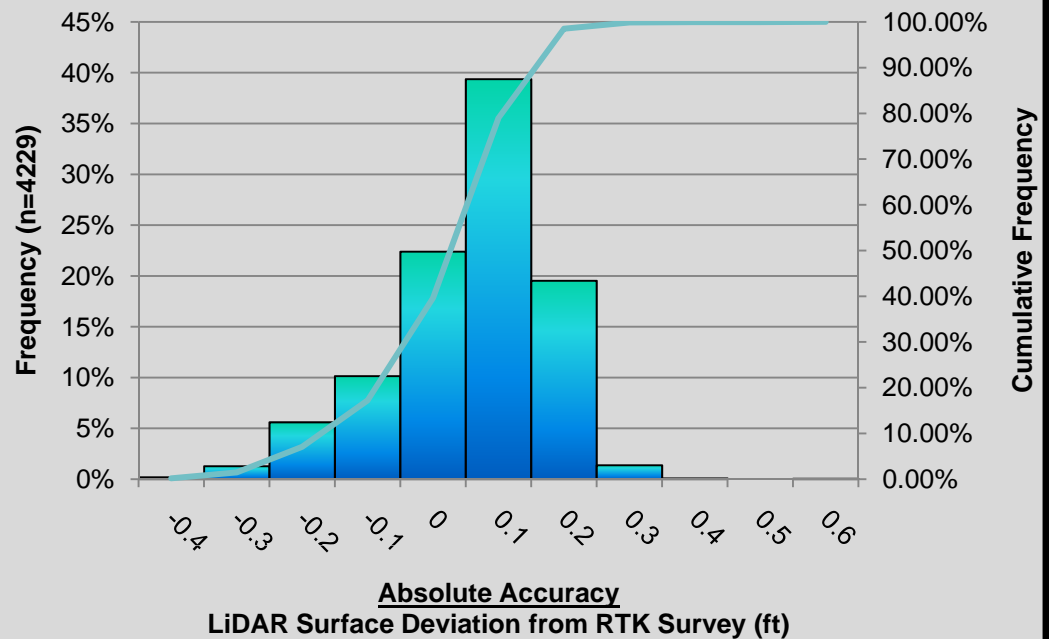
### Flathead Basin Ground Point Density



# Flathead Basin Project

## Assessed Accuracies

Surface Type	Sample Size	RMSE
Hard-surface (WSI)	4229	0.118 ft (0.036 m)
Asphalt (RDG)	168	0.141 ft (0.043 m)
Cattails (RDG)	27	1.037 ft (0.316 m)
Concrete (RDG)	42	0.226 ft (0.069 m)
Cultivated Field (RDG)	92	0.164 ft (0.050 m)
Drain Rock (RDG)	28	0.178 ft (0.054 m)
Grass (RDG)	49	0.282 ft (0.086 m)
Grass, Lawn (RDG)	109	0.161 ft (0.049 m)
Gravel (RDG)	174	0.178 ft (0.054 m)
Natural Field (RDG)	110	0.392 ft (0.120 m)
Packed Dirt (RDG)	57	0.204 ft (0.062 m)
Shrubs (RDG)	22	0.570 ft (0.174 m)



# Flathead Basin Project: Data Deliverables

- Point Data:**
- All Returns (Las v. 1.2 format)
  - Ground Classified Returns (Las v. 1.2 format)
- 

- Vector Data:**
- AOI boundary and tiling area, (ESRI Geodatabase )
  - 2 ft. contours, (ESRI Geodatabase)
  - Breaklines (ESRI Geodatabase)
  - DEM Tiling Index, (ESRI Geodatabase)
  - Orthoimagery Tiling Index, (ESRI Geodatabase)
  - Orthoimagery Flight Exposures (ESRI Geodatabase)
  - *Updated Roads Layer (ESRI geodatabase)*
- 

- Raster Data:**
- Elevation Models
    - Bare earth DEM, 3-ft, ESRI Grid
    - Bare earth DEM with breaklines enforced, 6-ft, ESRI Grid
  - Digital Orthophotos
    - Compressed mosaic (MrSid, 1-ft res)
    - Compressed tiles (MrSid, 1-ft res)
    - Uncompressed tiles (GeoTIFF, 1-ft res)
    - Compressed near infrared tiles (MrSid, 1-ft res)
    - Compressed near infrared mosaic (MrSid, 1-ft res)
    - Uncompressed near infrared tiles (GeoTIFF , 1-ft res)
  - Raw 4-Band Imagery (Tiff format)



**Before Hydro and  
Breakline Enforcement**

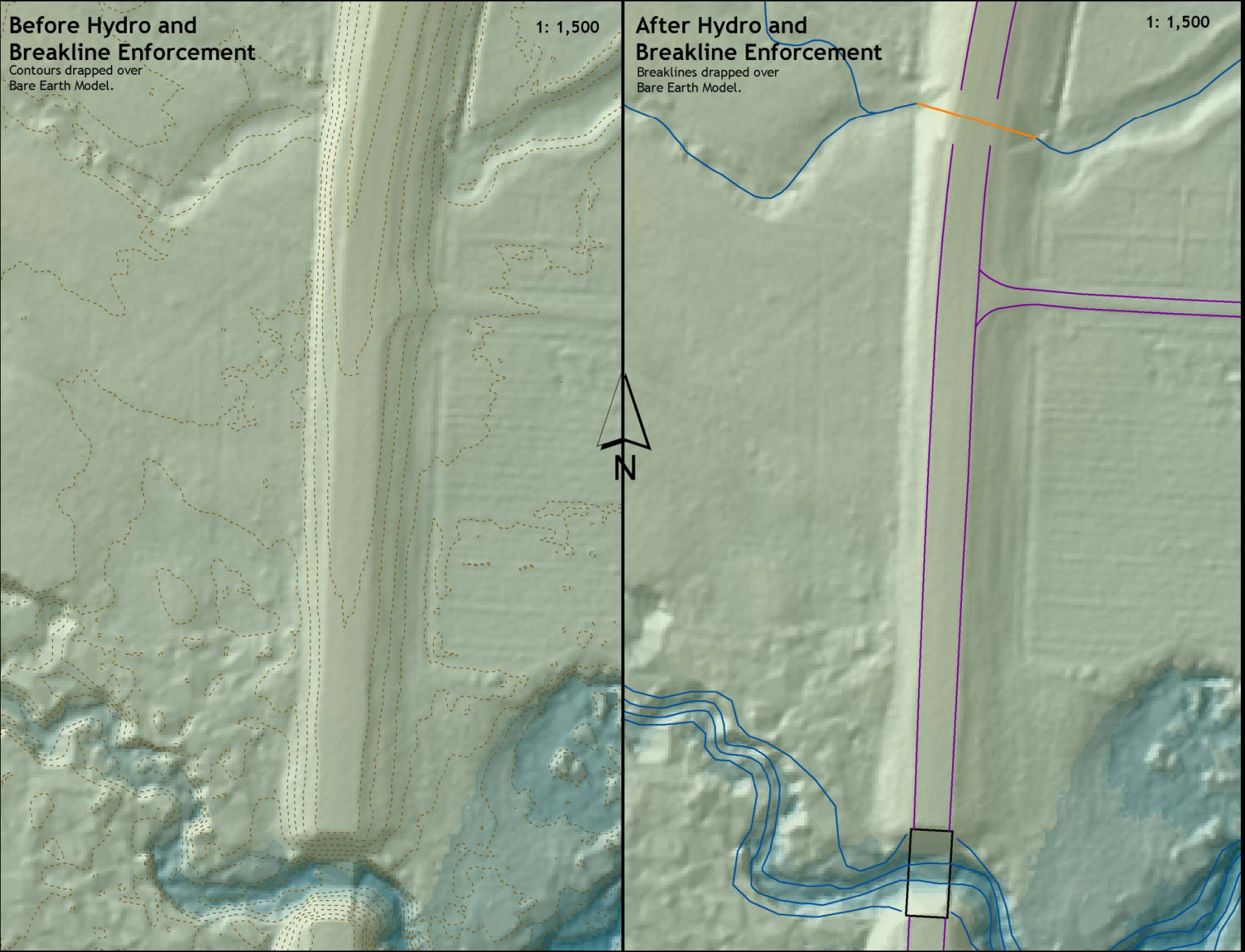
Contours drapped over  
Bare Earth Model.

1: 1,500

**After Hydro and  
Breakline Enforcement**

Breaklines drapped over  
Bare Earth Model.

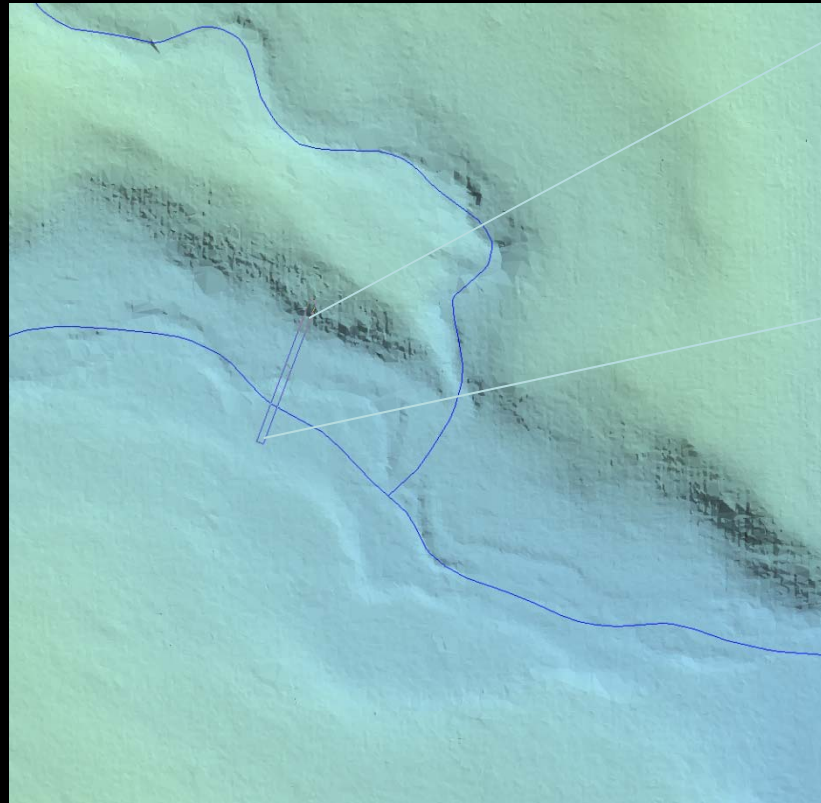
1: 1,500



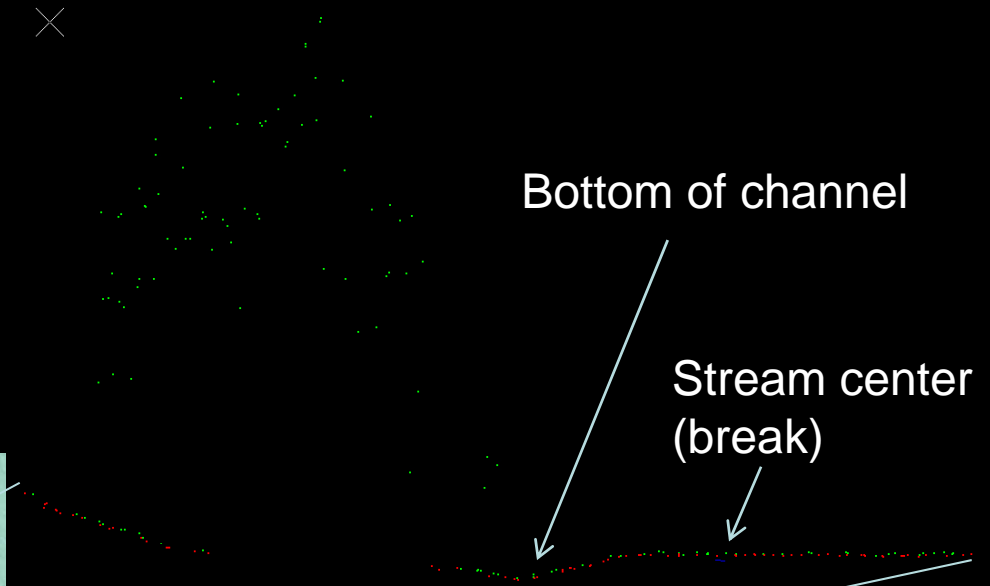
# Breaklines collected for the Flathead study area

Feature	Implementation
Hydro Break Earthen	Aid ground classificaton
Hydro Canal	Aid ground classificaton
Hydro Dam Concrete	Aid ground classificaton
Hydro Dam Earthen	Aid ground classificaton
Hydro Ditch Bottom	Aid ground classificaton
Hydro Ditch Top	Aid ground classificaton
Hydro Stream Bank Top	Aid ground classificaton
Hydro Stream Interm	Soft Breakline
Hydro Stream Perennial	Soft Breakline
Hydro Stream Disappear PNT	Provided as feature
Hydro Waterbody	Soft Breakline
Trans Airport Runway	Hard Breakline
Trans Airport Taxiway	Hard Breakline
Trans Road Paved Edge	Hard Breakline
Trans Road Unpaved Edge	Hard Breakline
Trans Road Private Paved Edge	Hard Breakline
Trans Road Private Unpaved Edge	Hard Breakline
Breakline Misc	Aid ground classificaton

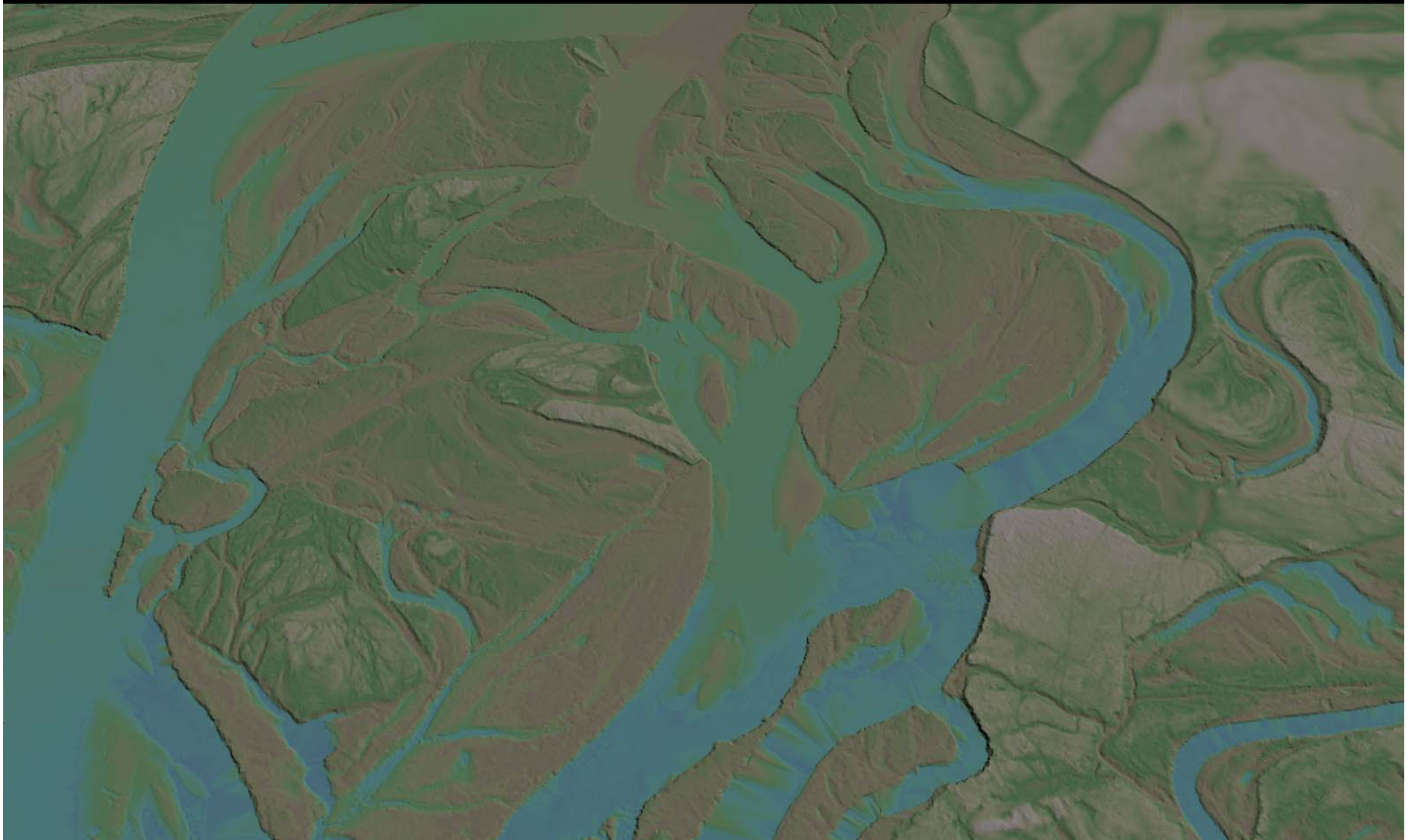
# Breakline Implementation



×

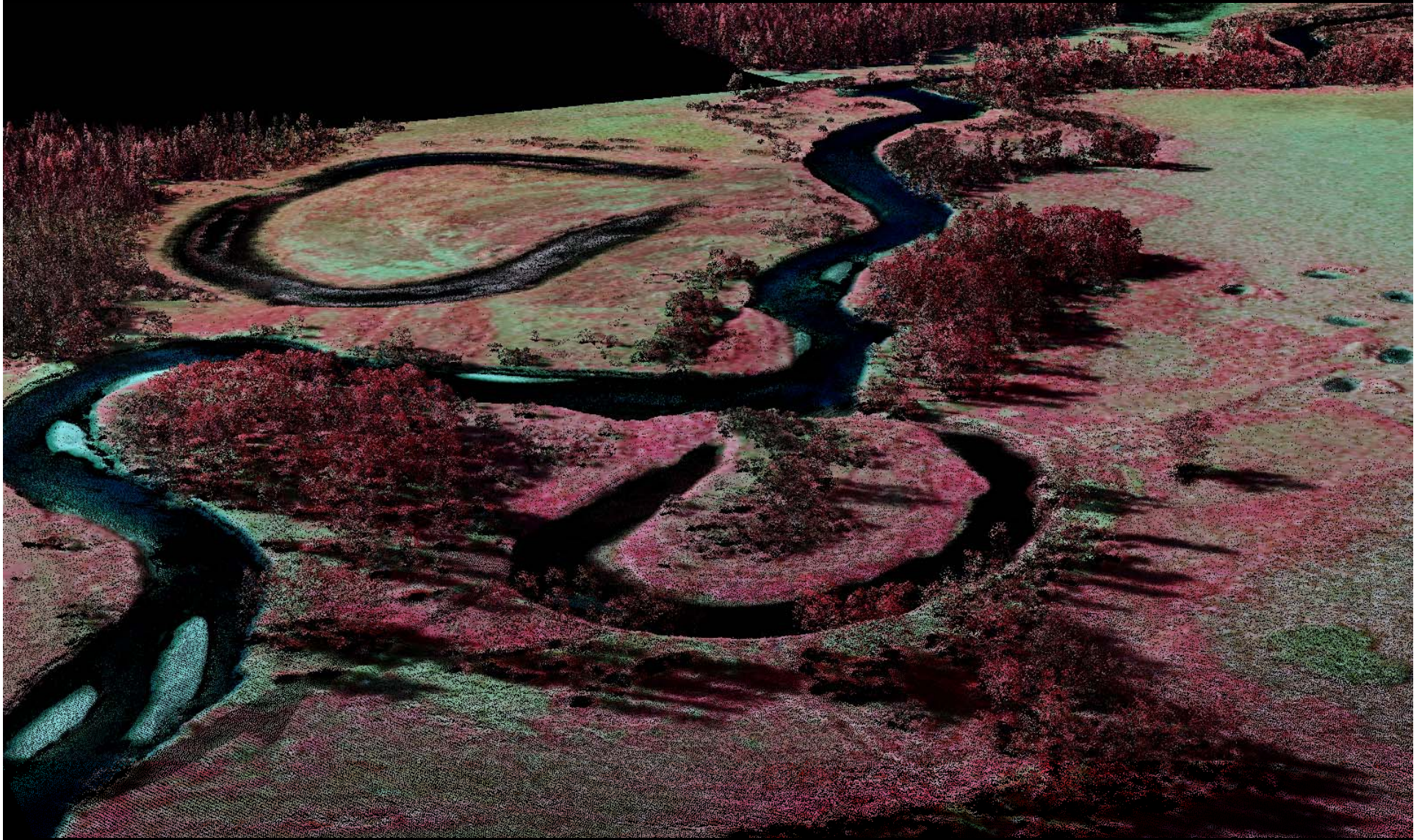


# Flathead Basin LiDAR



Flathead River east of Kalispell, MT; 1-meter Bare Earth DEM

# Flathead Basin Remote Sensing



Looking Northwest at Swan River ; Point Cloud Assigned NIR



Flathead Basin Remote Sensing – Fly Thru

# Flathead Basin Remote Sensing



Looking West Southwest at the town of Polson, MT; Point Cloud Assigned RGB

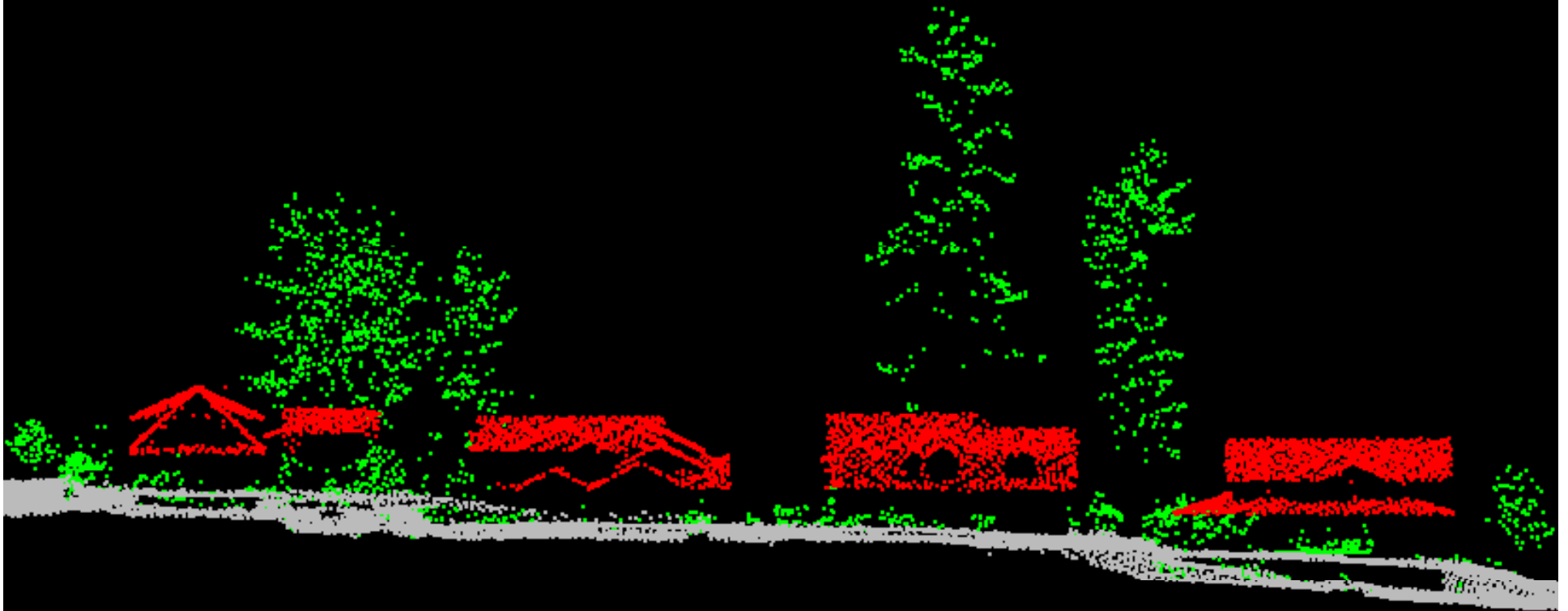
# Flathead Basin Remote Sensing



Looking Southeast at the City of Whitefish, MT; Point Cloud Assigned RGB



## Part 3: LiDAR Applications

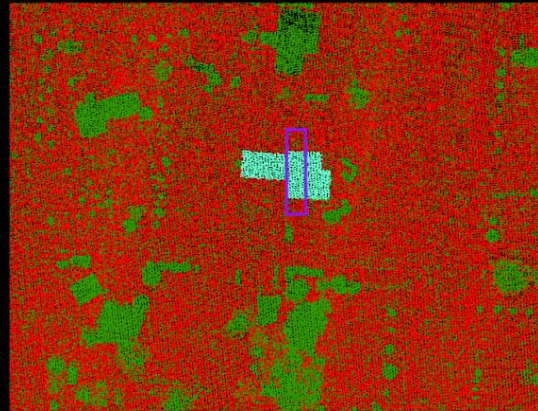


Resulting data classifications are ground, buildings and vegetation.

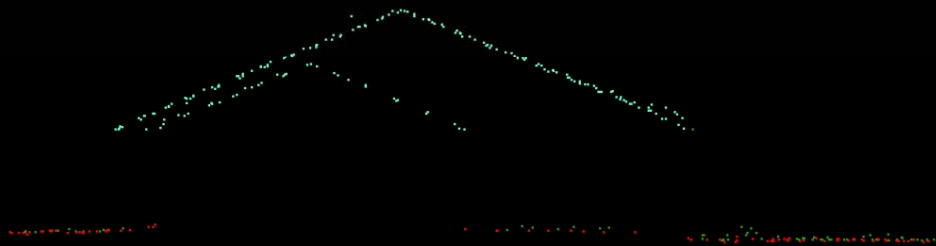
# Classification of Buildings/Structures



A



B



C

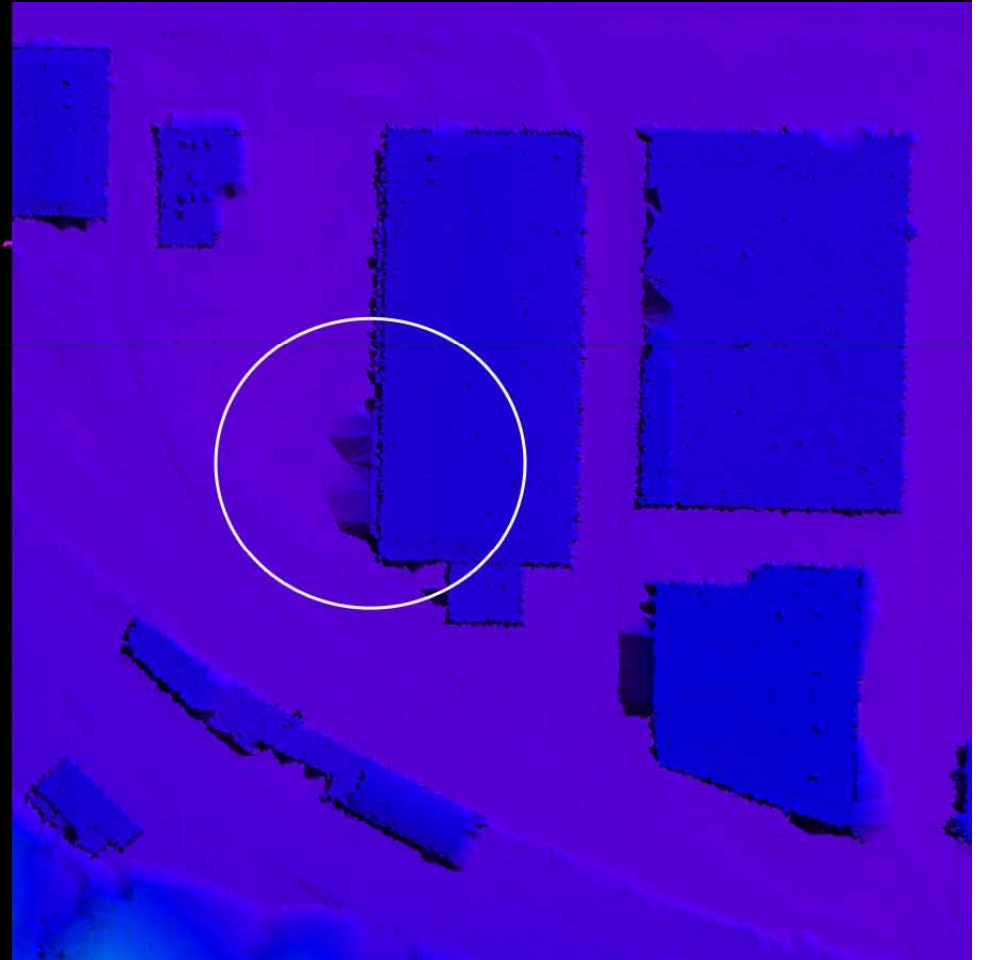
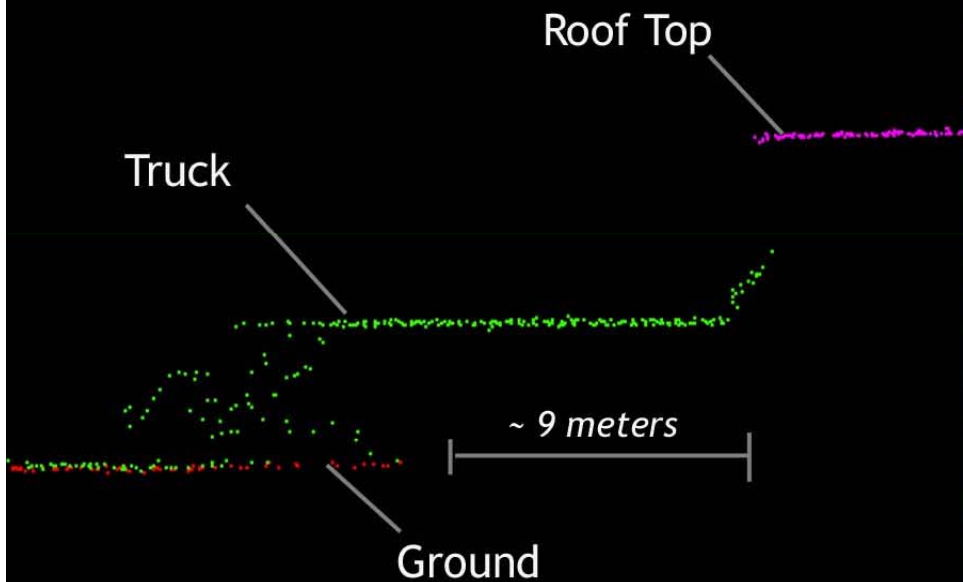
# Removal of Buildings/Structures



# Removal of Buildings/Structures



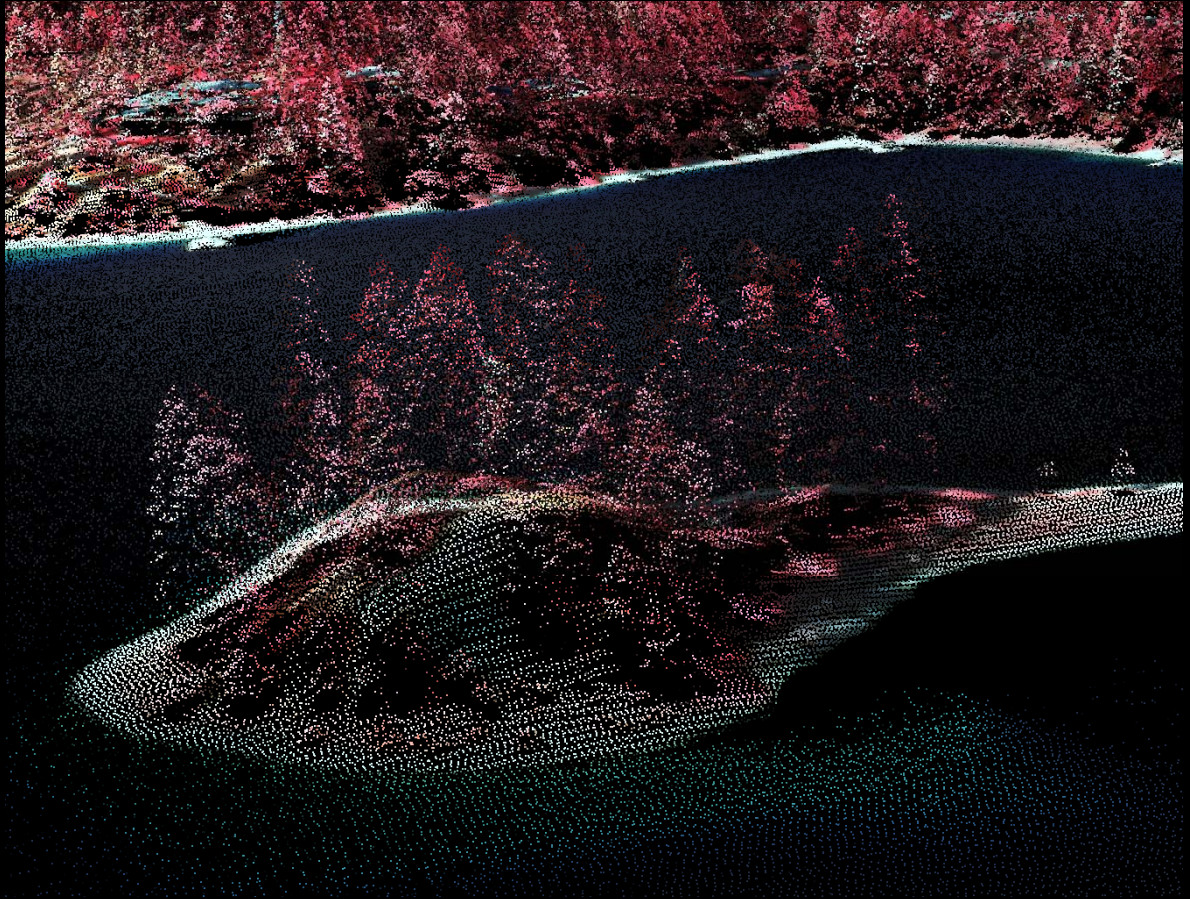
# Building Extraction: Challenges



- Industrial areas often have semi-trucks and trains.
- Care must be taken to not classify trucks as buildings.

# Vegetation Characterization

LiDAR point cloud provides the basis for deriving vegetation metrics to characterize structure at the stand or tree approximate scale.



Swan Lake; Flathead Basin

# Automated Data Strata Sampling

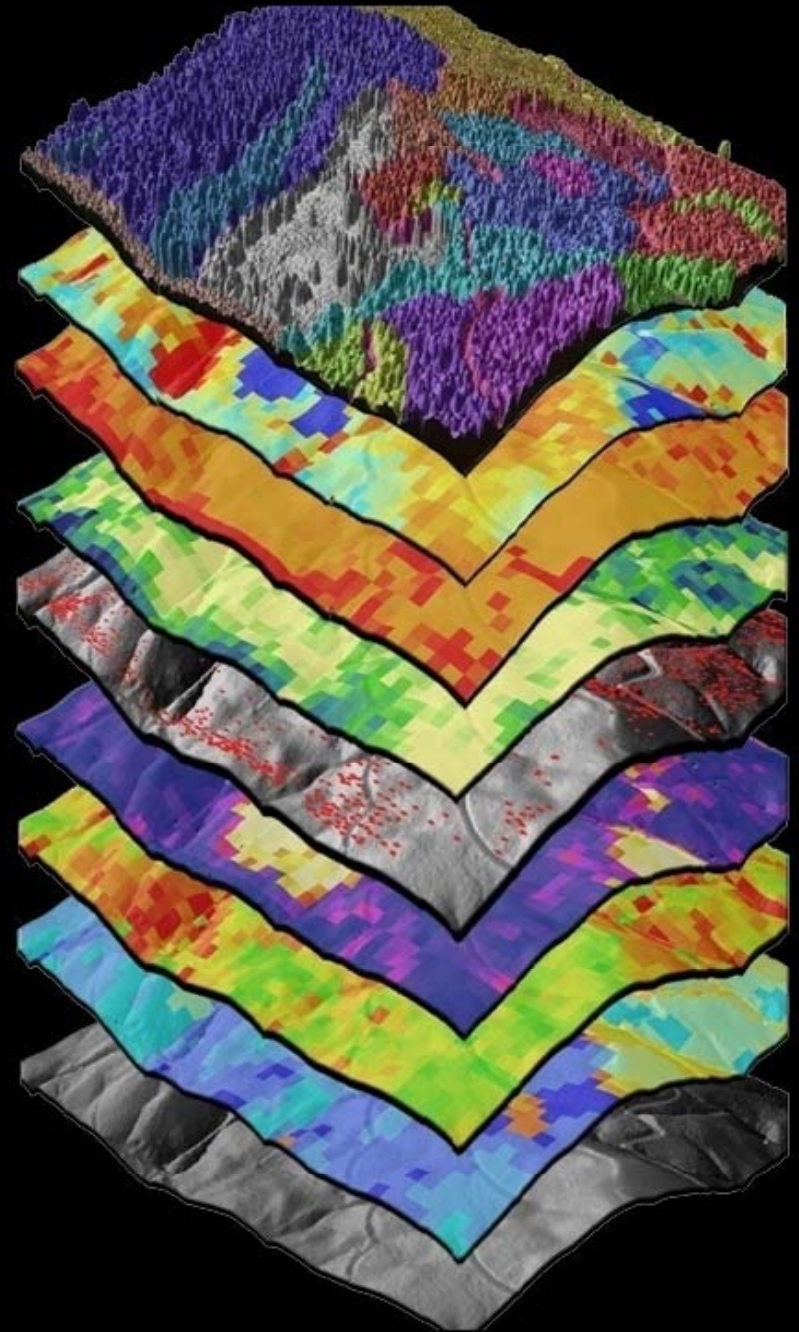
WSI LiDAR Feature Extraction Tool: In-house tool developed to generate vegetation metrics and other feature classifications. Capable of generating over 114 metrics/data strata.



# Common Vegetation Metrics:

PCA selection of data strata can vary depending on the land cover and analysis objectives.

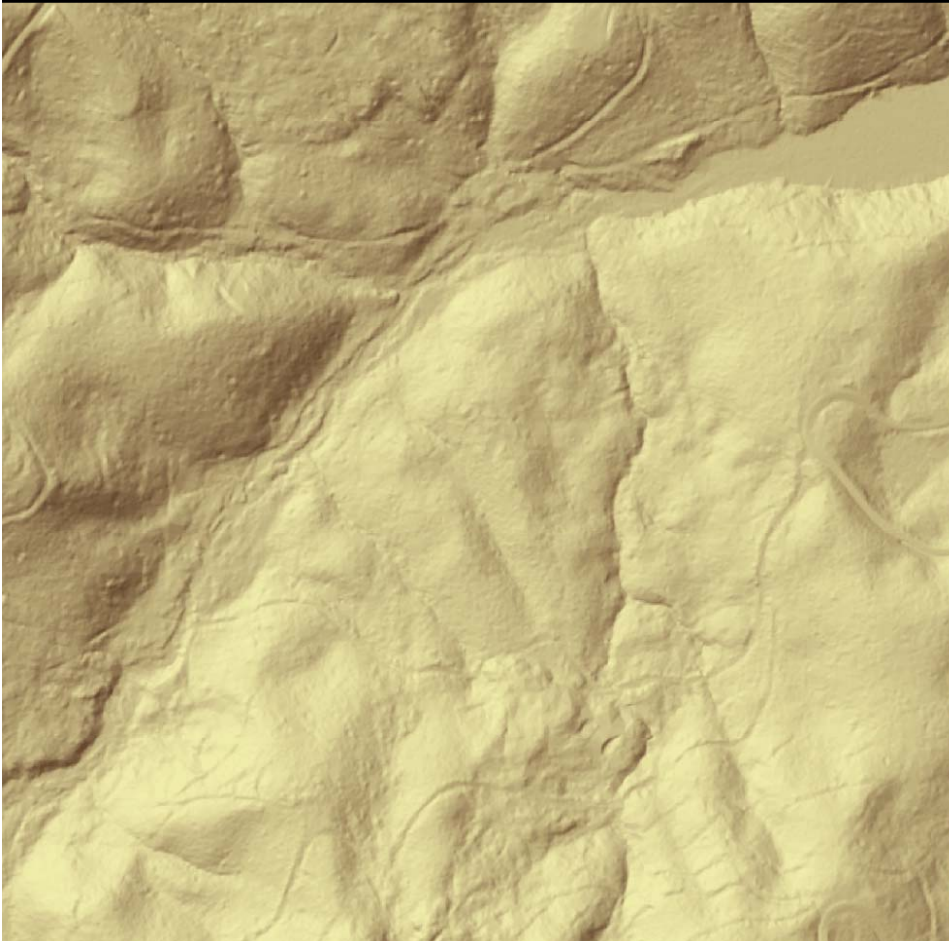
- Vegetation Height (P90): Height at which 90% of LiDAR returns fall below.
- Stem Density: # of local maxima per cell. Relative measure of density between forest stands.
- Standard Deviation of Height: Std deviation of local maxima per cell.
- Canopy Cover: % total returns over returns above a specified threshold.
- Canopy Height Model: Point elevations normalize to ground elevations.
- LiDAR Intensities: Normalized 1<sup>st</sup> return intensities.





# Stand Delineation

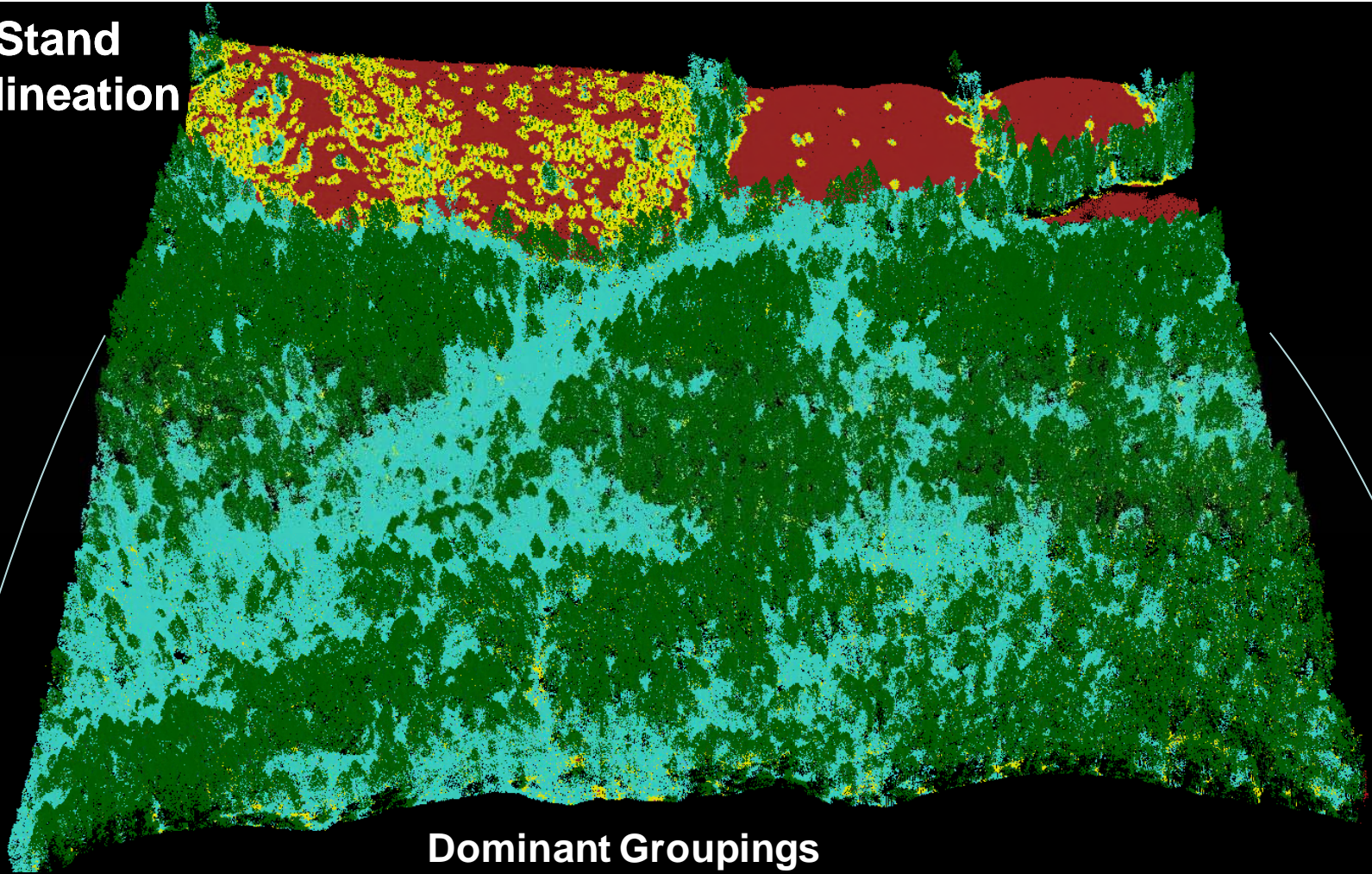
Bare Earth



Highest Hit



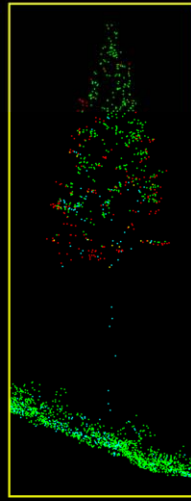
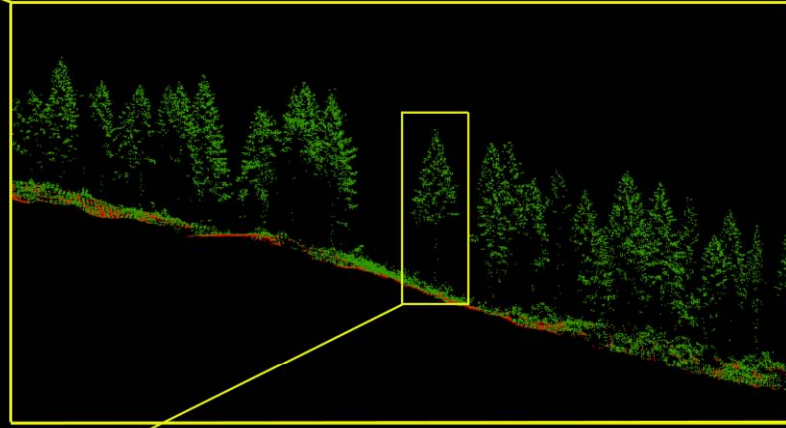
# Stand Delineation



## Dominant Groupings

- Conifer
- Deciduous
- Harvest (<1 year)
- Shrub/Non-Overstory

# Tree Level Segmentation Vegetation Height Clusters



# Individual Tree Metrics: Height

Individual tree height is a critical variable in forest biomass, carbon stocks, and growth and site productivity.

DBH is not measured directly, but highly correlated w/ LiDAR derived tree height and crown width

Individual Height Accuracies (Anderson et. al.):

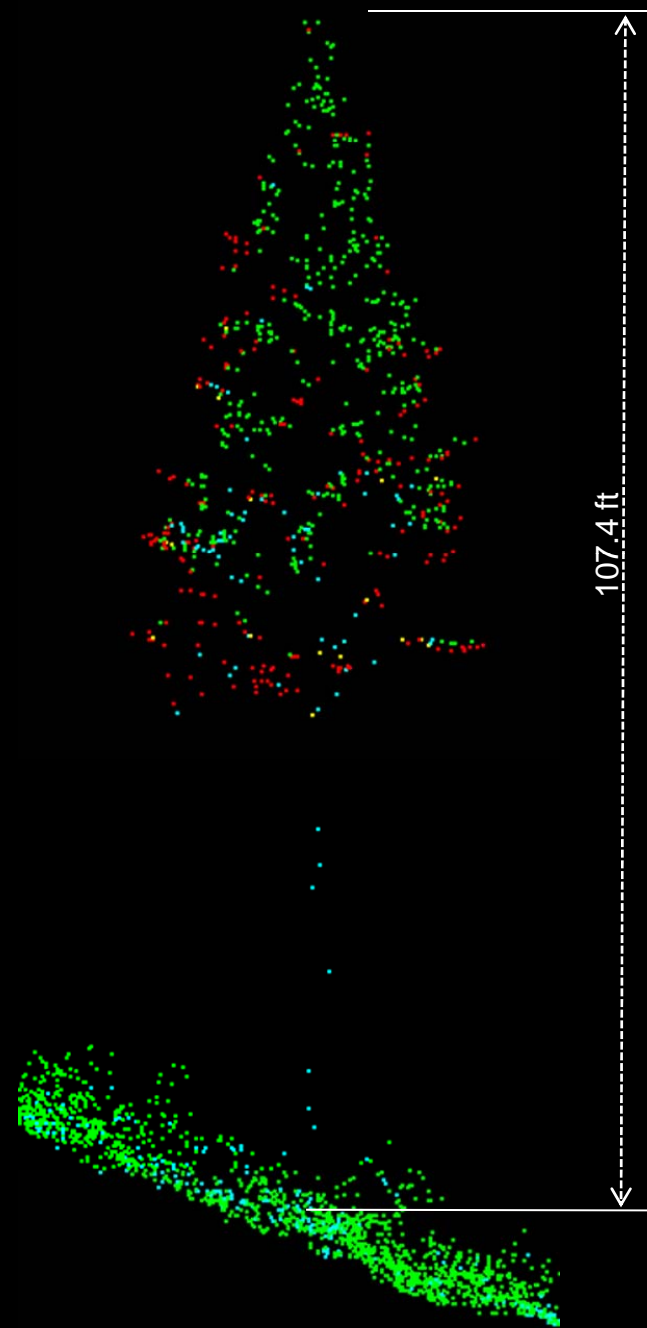
LiDAR @ 6 pulses/sq m:

*Ponderosa Pine:*  $-0.43 \pm 0.13$  m

*Douglas Fir:*  $-1.05 \pm 0.41$  m

Field Techniques:  $-0.27 \pm 0.27$  m

Digital Terrain Accuracy Directly Impacts on Individual Tree Height Measurements.



# Tree Level Segmentation

Conifers have Distinguishable Crowns

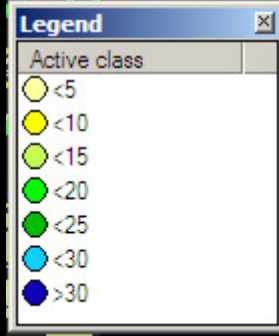
Multiple-Methods for Segmentation:

- Inverse Canopy Height Model
- Physical Similarity Delineations
- Object Oriented/Rules Based



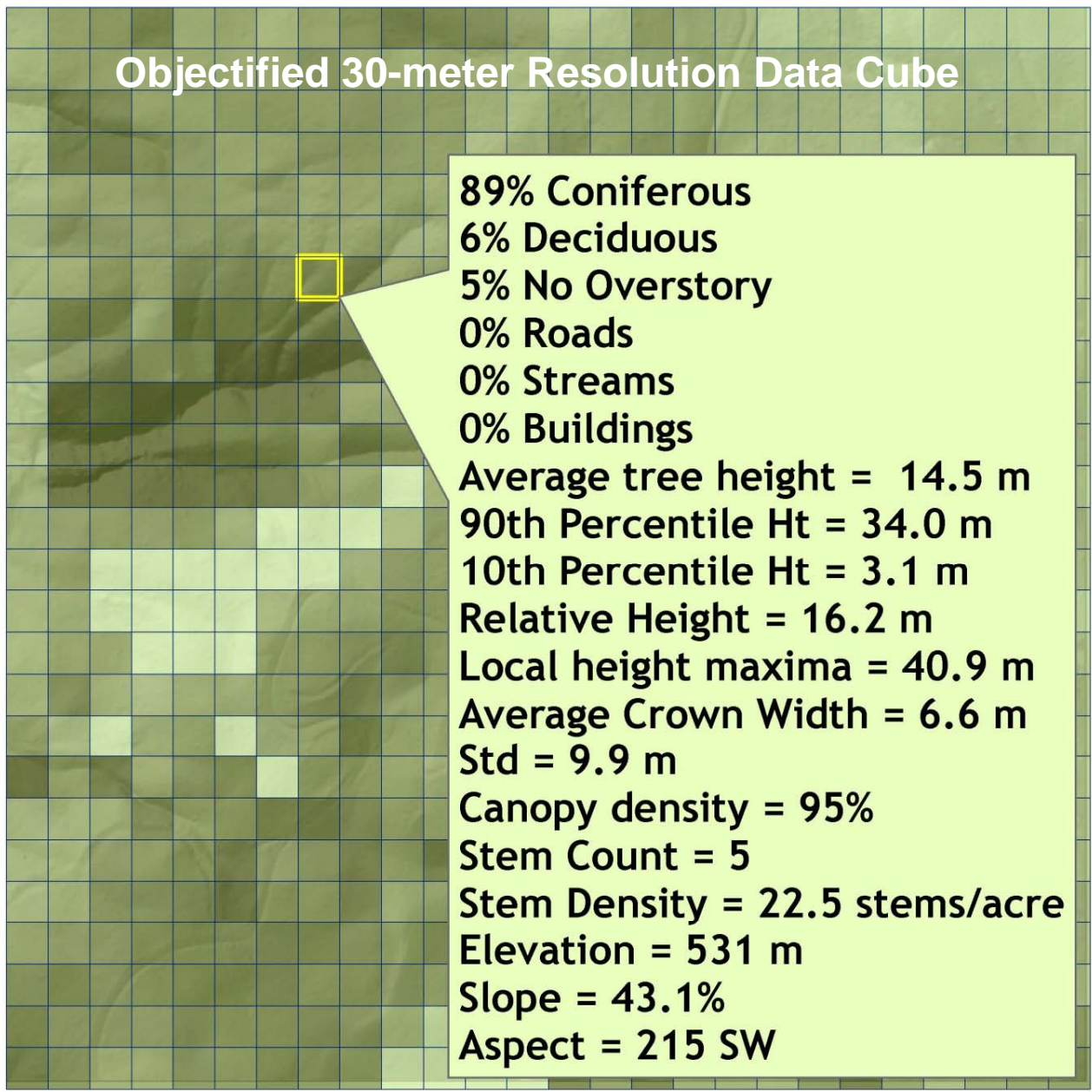
# Tree Cluster Level Classifications

## Physical Similarity Delineations



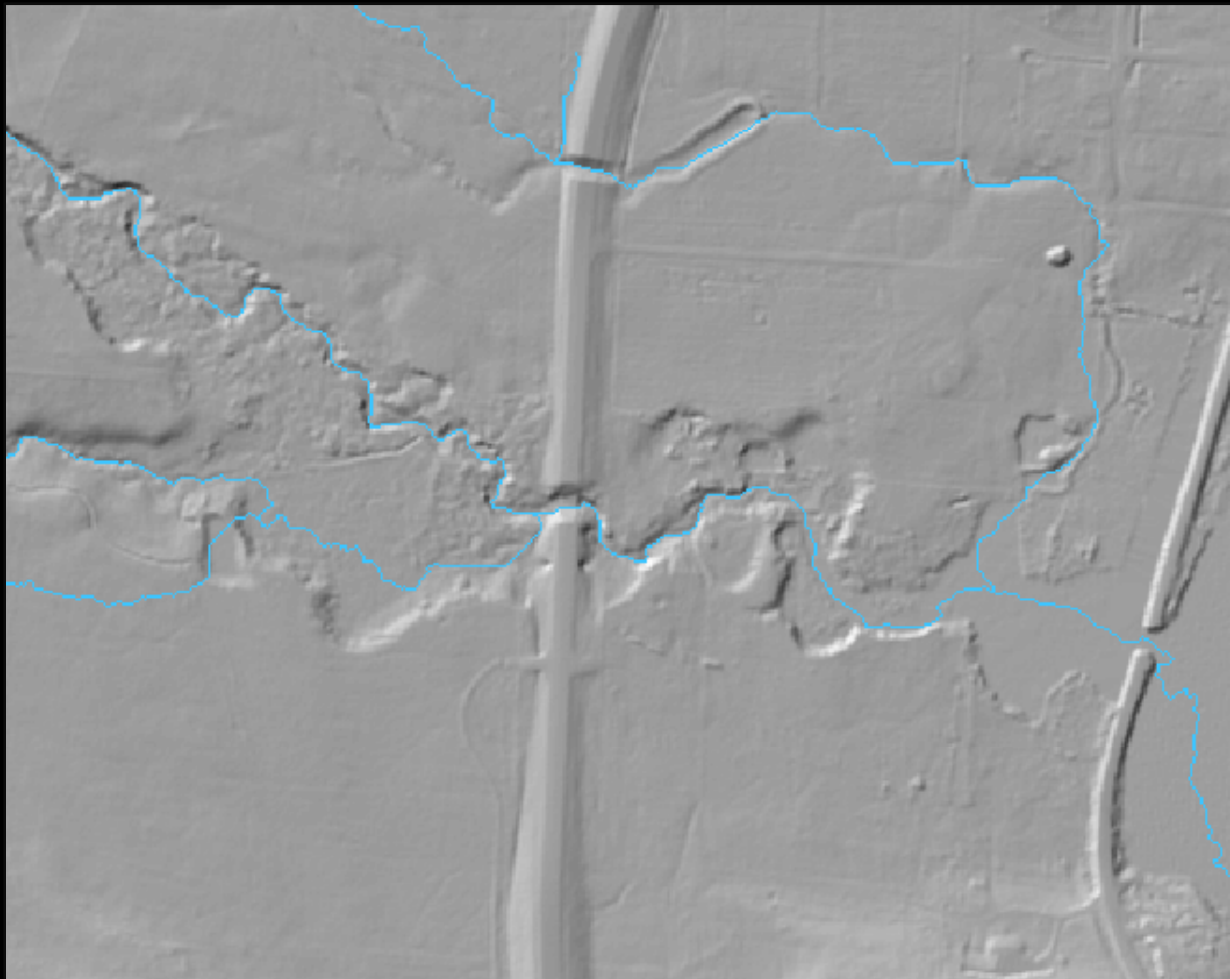
# Ongoing Developments: Stand Level Classification

## Objectified 30-meter Resolution Data Cube



89% Coniferous  
6% Deciduous  
5% No Overstory  
0% Roads  
0% Streams  
0% Buildings  
Average tree height = 14.5 m  
90th Percentile Ht = 34.0 m  
10th Percentile Ht = 3.1 m  
Relative Height = 16.2 m  
Local height maxima = 40.9 m  
Average Crown Width = 6.6 m  
Std = 9.9 m  
Canopy density = 95%  
Stem Count = 5  
Stem Density = 22.5 stems/acre  
Elevation = 531 m  
Slope = 43.1%  
Aspect = 215 SW

# Stream/Wetland Delineation

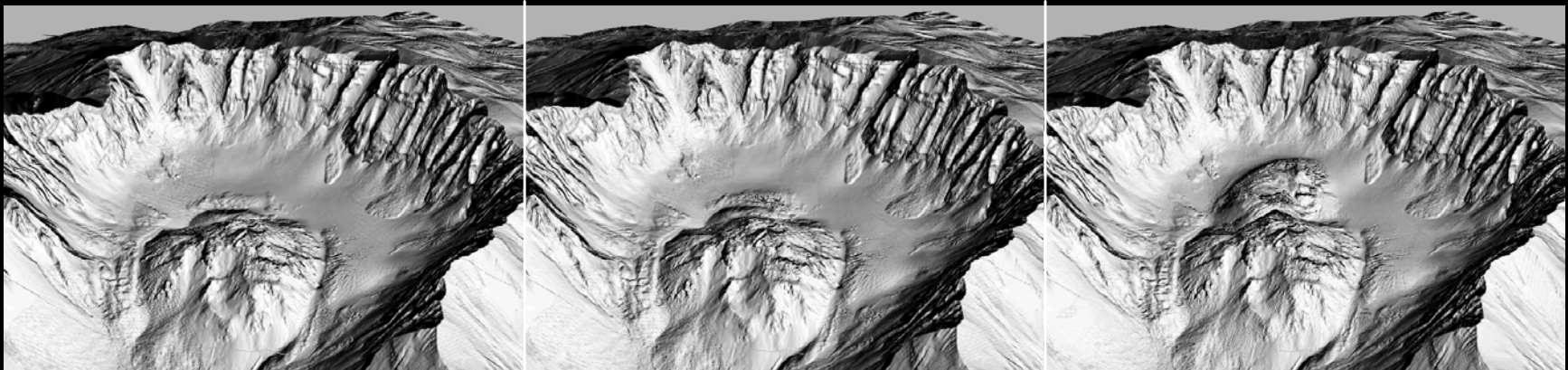




# Other Common Applications

- Landslide Detection and Mapping
- Flood Hazard Mapping
- Volume Calculation/Change Detection

*Mount St. Helens Dome Growth over 20 Day Period - 2004*



*September 24*

*September 30*

*October 14*

## Thank you

Montana DNRC

Lake and Kalispell Counties

Polson, Kalispell, Whitefish

Project Team:

River Design Group

3Di West

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