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Ravalli County LiDAR - Phase III

Technical Data Report



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Cover Photo: View looking east along Sleeping Child Road. The image is divided by the 3D LiDAR point cloud draped with NAIP imagery and the bare earth model colored by elevation.

Introduction

View of the Ravalli County LiDAR -Phase III site in Montana showing forested hills and mixed forest/grassland valleys



In July 2012, WSI (Watershed Sciences, Inc.) was contracted by the Ravalli County Planning Department to collect Light Detection and Ranging (LiDAR) data in the fall of 2012 for the southernmost portion of the Bitterroot Valley in Montana (Phase III). Phase I and II were collected in 2008, 2009, and 2010 (Table 1). Data were collected to aid in assessing the topographic and geophysical properties of the study area to support floodplain hazard mapping.

This report accompanies the Phase III LiDAR data and documents data acquisition procedures, processing methods, and results of all accuracy assessments. The project extent can be seen in Figure 1, and a complete list of contracted deliverables provided to Ravalli County can be found in Table 2.

Table 1: Acquisition dates and acreages collected for the Ravalli County LiDAR areas

Project Site	Contracted Acres	Buffered Acres	Acquisition Dates	Data Type
Phase I	118,730	120,833	2008	
Phase II	135,671	139,506	2009/2010	LiDAR
Phase III	38,557	45,171	November 3-6, 2012	

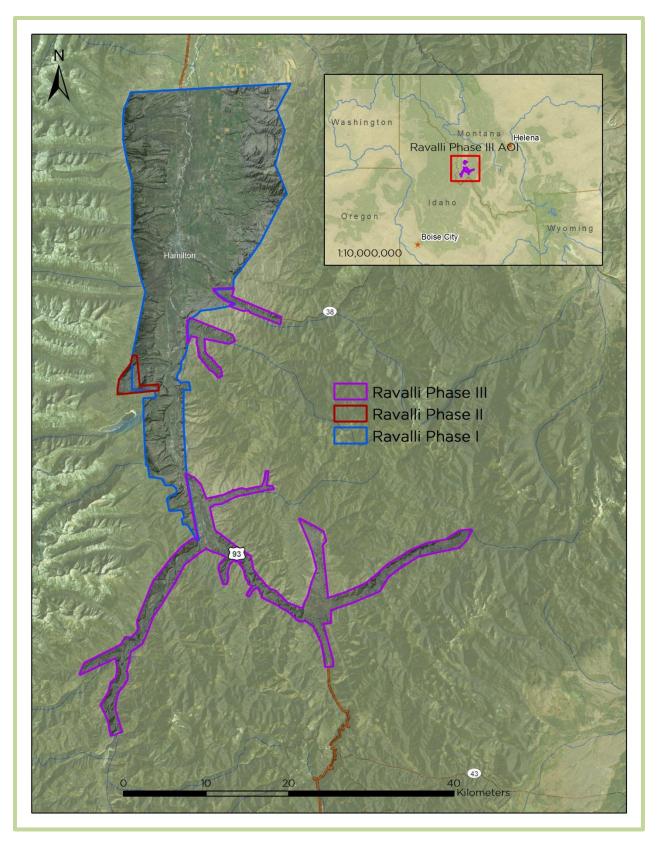


Figure 1: Location map of the Ravalli County LiDAR - Phase III site in Montana

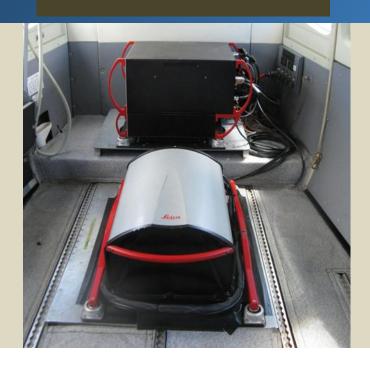
Table 2: Products delivered for the Ravalli County LiDAR - Phase III site

Ravalli County LiDAR - Phase III Products Projection: Montana State Plane Zone 2500 Horizontal Datum: NAD83 (CORS96) Meters Vertical Datum: NAVD88 (GEOID03) US Survey Feet LAS v 1.2 **LAS Files** • All Returns 1 Meter ESRI Grids • Bare Earth Model • Highest Hit Model **Rasters** 0.5 Meter GeoTIFFs Intensity Images Shapefiles (*.shp) • Site Boundary LiDAR Index • DEM/DSM Index **Vectors** • Contours (2-foot) Drawing Exchange Files (*.dwg) • Contours (2-foot)



Acquisition

ALS60 LiDAR sensor installation



Planning

In preparation for data collection, WSI reviewed the project area using Google Earth, and flightlines were developed using ALTM-NAV Planner (v.3.0) software. Careful planning by acquisition staff entailed adapting the pulse rate, flight altitude, scan angle, and ground speed to ensure complete coverage of the Ravalli County Phase III LiDAR study area at the target point density of ≥6 pulses per square meter (0.74 pulses/square foot). Efforts are taken to optimize flight paths by minimizing flight times while meeting all accuracy specifications.

Factors such as satellite constellation availability and weather windows must be considered. Any weather hazards and conditions affecting the flight were continuously monitored due to their impact on the daily success of airborne and ground operations. In addition, a variety of logistical considerations require review: private property access, potential air space restrictions, and availability of company resources (both staff and equipment).

Ground Survey

Ground survey data is used to geospatially correct the aircraft positional coordinate data and to perform quality assurance checks on final LiDAR data. Ground professionals set permanent survey monuments and collect real time kinematic (RTK) surveys to support the airborne LiDAR acquisition process.



Monumentation

The spatial configuration of ground survey monuments provided redundant control within 13 nautical miles of the mission areas for LiDAR flights. Monuments were also used for collection of ground control points using RTK survey techniques (see **RTK** below).

Monument locations were selected with consideration for satellite visibility, field crew safety, and optimal location for RTK coverage. Andrew Belski, Professional Land Surveyor (MT PLS#14731) of River Design Group in Whitefish, Montana oversaw and certified the establishment of 6 new monuments for the Ravalli County LiDAR - Phase III project (Table 3, Figure 2). New monumentation was set using 5/8"x30" rebar topped with stamped 2" aluminum caps.

Table 3: Monuments established for the Ravalli County LiDAR - Phase III acquisition. Coordinates are on the NAD83 (CORS96) datum, epoch 2002.00.

Monument ID	Latitude	Longitude	Ellipsoid (meters)
RVLI_PH3_01	46° 11′ 32.81141″	-114° 05′ 55.89200″	1153.701
RVLI_PH3_02	46° 09′ 14.12996″	-114° 07′ 44.47551″	1149.595
RVLI_PH3_03	45° 48′ 21.74667″	-114° 15′ 45.41825″	1323.464
RVLI_PH3_04	45° 50′ 09.79350″	-114° 14′ 09.06931″	1297.541
RVLI_PH3_05	45° 50′ 30.30678″	-113° 58′ 22.43806″	1340.410
RVLI_PH3_06	45° 51′ 03.93924″	-113° 56′ 15.48640″	1376.238

To correct the continuous onboard measurements of the aircraft position recorded throughout the missions, WSI concurrently conducted multiple static Global Navigation Satellite System (GNSS) ground surveys (1 Hz recording frequency) over each monument. After the airborne survey, the static GPS data were triangulated with nearby Continuously Operating Reference Stations (CORS) using the Online Positioning User Service (OPUS¹) for precise positioning. Multiple independent sessions over the same monument were processed to confirm antenna height measurements and to refine position accuracy.

¹ OPUS is a free service provided by the National Geodetic Survey to process corrected monument positions. http://www.ngs.noaa.gov/OPUS.

RTK Surveys

For the real time kinetic (RTK) check point data collection, a Trimble R7 base unit was positioned at a nearby monument to broadcast a kinematic correction to a roving Trimble R8 GNSS receiver. All RTK measurements were made during periods with a Position Dilution of Precision (PDOP) of \leq 3.0 with at least six satellites in view of the stationary and roving receivers. When collecting RTK data, the rover would record data while stationary for five seconds, then calculate the pseudorange position using at least three one-second epochs. Relative errors for the position must be less than 1.5 cm horizontal and 2.0 cm vertical in order to be accepted.

RTK positions were collected on paved roads and other hard surface locations such as gravel or stable dirt roads that also had good satellite visibility. RTK measurements were not taken on highly reflective surfaces such as center line stripes or lane markings on roads due to the increased noise seen in the laser returns over these surfaces. The distribution of RTK points depended on ground access constraints and may not be equitably distributed throughout the study area. See Figure 2 for the distribution of RTK in this project.

All static surveys were collected with Trimble model R7 GNSS receivers equipped with a Zephyr Geodetic Model 2 RoHS antenna. A Trimble model R8 GNSS receiver was used to collect RTK. All GNSS measurements were made with dual frequency L1-L2 receivers with carrier-phase correction. See Table 4 for Trimble unit specifications.

Table 4: Trimble equipment identification

Receiver Model	Antenna	OPUS Antenna ID	Use
Trimble R7 GNSS	Zephyr GNSS Geodetic Model 2	TRM57971.00	Static
Trimble R8	Integrated Antenna R8 Model 2	TRM_R8_GNSS	RTK

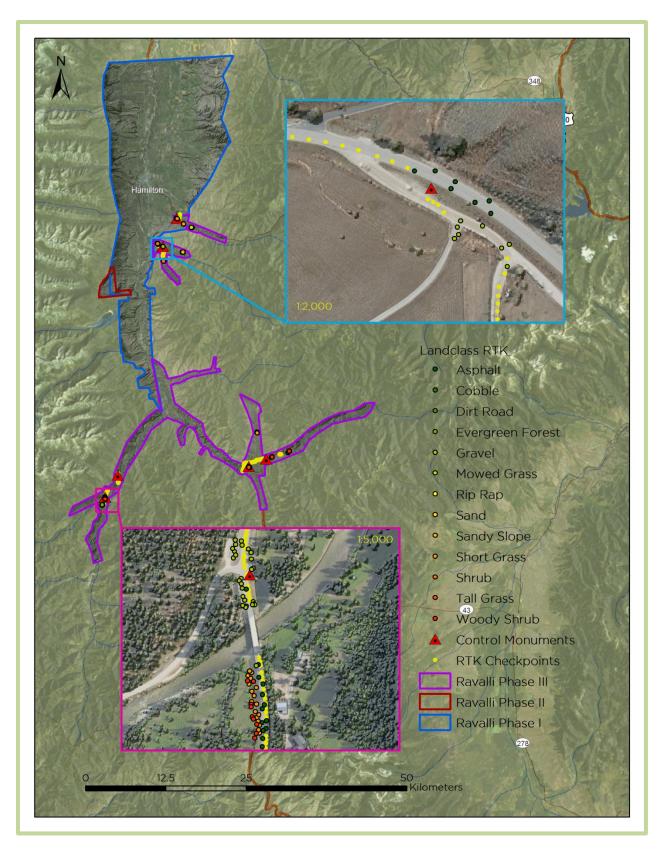


Figure 2: Basestation and RTK checkpoint location map

Land Cover

In addition to control point RTK, land cover check points were taken throughout the study area by River Design Group (RDG). Land cover types and descriptions can be referenced in Table 5. Individual accuracies were calculated for each land-cover type to assess confidence in the LiDAR derived ground models across land cover classes.

Table 5: Land cover descriptions of check points taken for Ravalli County Phase III LiDAR by RDG

Land cover type	Description
Asphalt	Paved roads, parking lots
Cobble	Medium-sized river rock, points taken with RTK pole on top of rocks
Dirt Road	Hard pack dirt roads
Evergreen Forest	Areas dominated by trees where 75 percent or more of the tree species maintain their leaves all year round
Gravel	Gravel roads, pits
Mowed Grass	Mowed hay field
Rip rap	Rock or other material used to armor shorelines, streambeds, bridge abutments, pilings and other shoreline structures against scour, water or ice erosion
Sandy Slope	Non-vegetated cut/fill slope adjacent to roads
Short Grass	Grass height is below the knee (< 2 feet), points taken with RTK pole in the center of the grass patch
Tall Grass	Grass height is above the knee (> 2 feet), points taken with the RTK pole in the center of the grass patch
Woody Shrub	Areas characterized by natural or semi-natural woody vegetation with aerial stems, generally less than 6 meters tall, with individuals or clumps not touching or interlocking. Both evergreen and deciduous species of true shrubs, young trees, and trees or shrubs that are small or stunted because of environmental conditions are included.

Airborne Survey

LiDAR

The LiDAR survey was accomplished with a Leica ALS60 system mounted in a Cessna Caravan. Table 6 summarizes the settings used to yield an average pulse density of ≥6 pulses/m² over the Ravalli County LiDAR - Phase III terrain. It is not uncommon for some types of surfaces (e.g. dense vegetation or water) to return fewer pulses to the LiDAR sensor than the laser originally emitted. These discrepancies between native and delivered density will vary depending on terrain, land cover, and the prevalence of water bodies.

Table 6: LiDAR survey settings and specifications for the Ravalli County LiDAR - Phase III site

LiDAR Survey Settings & Specifications				
Sensor	Leica ALS60			
Survey Altitude (AGL)	1100 m			
Target Pulse Rate	88-93.3 kHz			
Sensor Configuration	Single Pulse in Air (SPiA)			
Laser Pulse Diameter	26 cm			
Field of View	28°			
GPS Baselines	≤13 nm			
GPS PDOP	≤3.0			
GPS Satellite Constellation	≥6			
Maximum Returns	4			
Intensity	8-bit			
Resolution/Density	Average 6 pulses/m ²			
Accuracy	RMSE _z ≤ 15 cm			

To reduce laser shadowing and increase surface laser painting, all areas were surveyed with an opposing flight line side-lap of ≥50% (≥100% overlap). The Leica laser systems record up to four range measurements (returns) per pulse. All discernible laser returns were processed for the output dataset.

To accurately solve for laser point position (geographic coordinates x, y, z), the positional coordinates of the airborne sensor and the attitude of the aircraft were recorded continuously throughout the LiDAR data collection mission. Position of the aircraft was measured twice per second (2 Hz) by an onboard differential GPS unit. Aircraft attitude was measured 200 times per second (200 Hz) as pitch, roll, and yaw (heading) from an onboard inertial measurement unit (IMU). To allow for post-processing correction and calibration, aircraft/sensor position and attitude data are indexed by GPS time.

PROCESSING

View looking east along the East Fork Bitterroot River. The 3D LiDAR point cloud is draped with NAIP imagery.



LiDAR Data

Upon the LiDAR data's arrival to the office, WSI processing staff initiates a suite of automated and manual techniques to process the data into the requested deliverables. Processing tasks include GPS control computations, kinematic corrections, calculation of laser point position, calibration for optimal relative and absolute accuracy, and classification of ground and non-ground points (Table 7). Processing methodologies are tailored for the landscape and intended application of the point data. A full description of these tasks can be found in Table 8.

Table 7: ASPRS LAS classification standards applied to the Ravalli County LiDAR - Phase III dataset

Classification Number	Classification Name	Classification Description
1	Default/ Unclassified	Laser returns that are not included in the ground class and not dismissed as Noise or Withheld points
2	Ground	Ground that is determined by a number of automated and manual cleaning algorithms to determine the best ground model the data can support
7	Noise	Laser returns that are often associated with birds or artificial points below the ground surface "pits"
11	Withheld	Laser returns that have intensity values of 0 or 255

Table 8: LiDAR processing workflow

LiDAR Processing Step	Software Used
Resolve kinematic corrections for aircraft position data using kinematic aircraft GPS and static ground GPS data.	Waypoint GPS v.8.3 Trimble Business Center v.2.80 Blue Marble Desktop v.2.5
Develop a smoothed best estimate of trajectory (SBET) file that blends post-processed aircraft position with attitude data. Sensor head position and attitude are calculated throughout the survey. The SBET data are used extensively for laser point processing.	IPAS TC v.3.1
Calculate laser point position by associating SBET position to each laser point return time, scan angle, intensity, etc. Create raw laser point cloud data for the entire survey in *.las (ASPRS v. 1.2) format. Data are converted to orthometric elevations (NAVD88) by applying a Geoid12 correction.	ALS Post Processing Software v.2.74
Import raw laser points into manageable blocks (less than 500 MB) to perform manual relative accuracy calibration and filter erroneous points. Ground points are then classified for individual flight lines (to be used for relative accuracy testing and calibration).	TerraScan v.12.004
Using ground classified points per each flight line, the relative accuracy is tested. Automated line-to-line calibrations are then performed for system attitude parameters (pitch, roll, heading), mirror flex (scale) and GPS/IMU drift. Calibrations are calculated on ground classified points from paired flight lines and results are applied to all points in a flight line. Every flight line is used for relative accuracy calibration.	TerraMatch v.12.001
Classify resulting data to ground and other client designated ASPRS classifications (Table 7). Assess statistical absolute accuracy via direct comparisons of ground classified points to ground RTK survey data.	TerraScan v.12.004 TerraModeler v.12.002
Generate bare earth models as triangulated surfaces. Highest hit models were created as a surface expression of all classified points (excluding the noise and withheld classes). All surface models were exported as GeoTIFFs at a 1 meter pixel resolution.	TerraScan v.12.004 ArcMap v. 10.0 TerraModeler v.12.002

Feature Extraction

Contours

Contour generation from LiDAR point data requires a thinning operation in order to reduce contour sinuosity. The thinning operation reduces point density where topographic change is minimal (flat surfaces) while preserving resolution where topographic change is present. These *model key points* are selected from the ground model every 20 feet with the spacing decreased in regions with high surface curvature (Z tolerance of 0.25 feet). Generation of model key points eliminates redundant detail in terrain representation, particularly in areas of low relief, and provides for a more manageable dataset. Contours are produced through TerraModeler by interpolating between the model key points at even elevation increments.

Elevation contour lines are then intersected with ground point density rasters and a confidence field is added to each contour line. Contours crossing areas of high point density have high confidence levels. Contours crossing areas with low ground point densities preclude the generation of contours at the specified interval resulting in contours being classified as 'low' confidence. These areas with low ground point density are commonly beneath buildings and bridges, in locations with dense vegetation, over water, and in other areas where laser penetration to the ground surface is impeded (Figure 3).

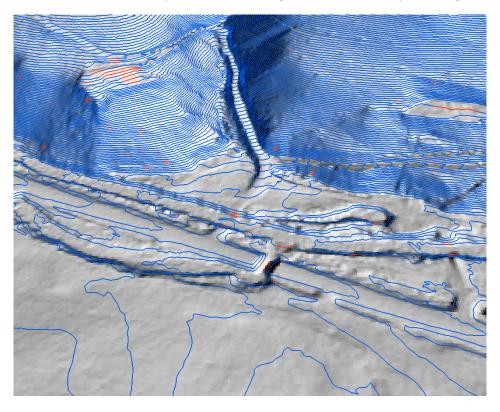


Figure 3: Contours draped over the Ravalli County LiDAR - Phase III bare earth elevation model. Blue contours represent high confidence while the red contours represent low confidence.

RESULTS & DISCUSSION

View of a fork in the Bitterroot River. The 3D LiDAR point cloud is draped with NAIP imagery.



LiDAR Density

The average first-return density for the Ravalli County LiDAR - Phase III data was 6.77 points/m² (Table 9). The pulse density distribution will vary within the study area due to laser scan pattern and flight conditions. Additionally, some types of surfaces (i.e. breaks in terrain, water, steep slopes) may return fewer pulses to the sensor (delivered density) than originally emitted by the laser (native density).

Table 9: Average LiDAR point densities

Classification	Point Density
First-Return	6.77 points/m ²
Ground Classified	3.61 points/m ²

The statistical distribution of first returns (Figure 4) and classified ground points (Figure 5) are portrayed below. Also presented are the spatial distribution of average first return densities (Figure 6) and ground point densities (Figure 7) for each 100 m² cell.

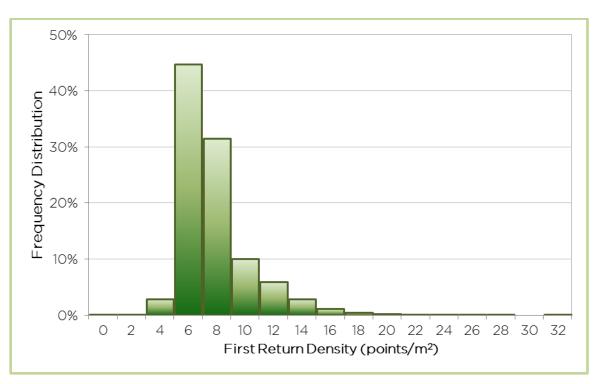


Figure 4: Frequency distribution of first return densities (native densities) of the 1m gridded study area

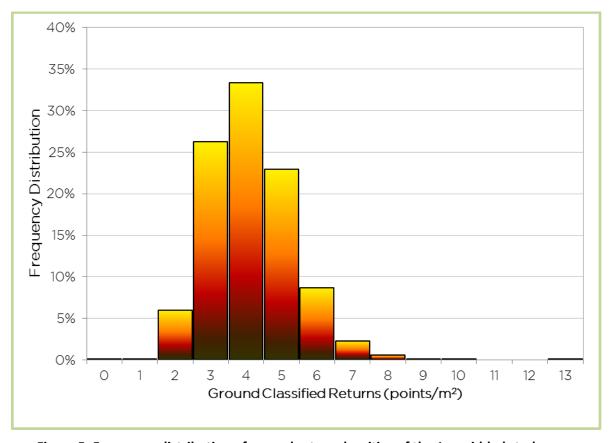


Figure 5: Frequency distribution of ground return densities of the 1m gridded study area

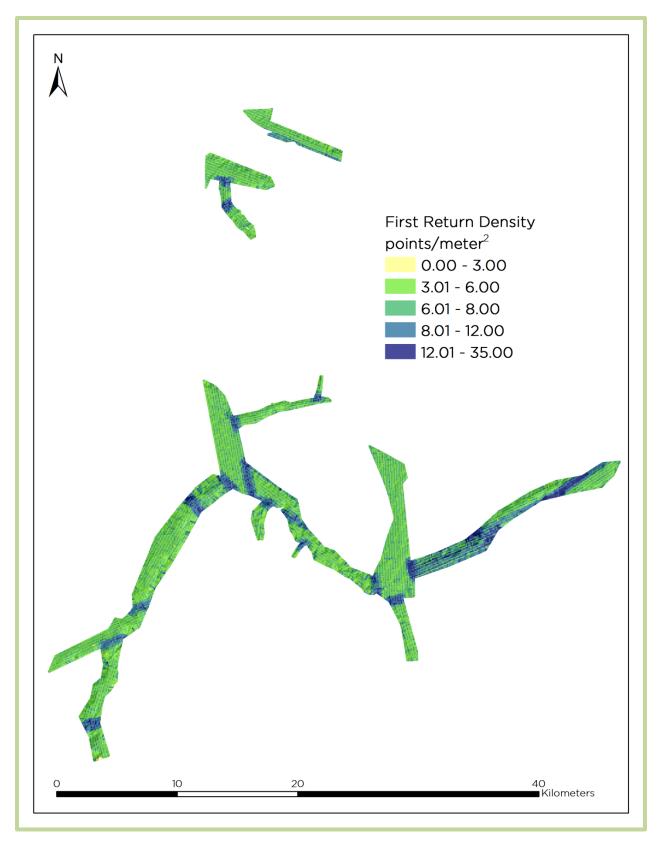


Figure 6: Native density map for the Ravalli County LiDAR - Phase III site

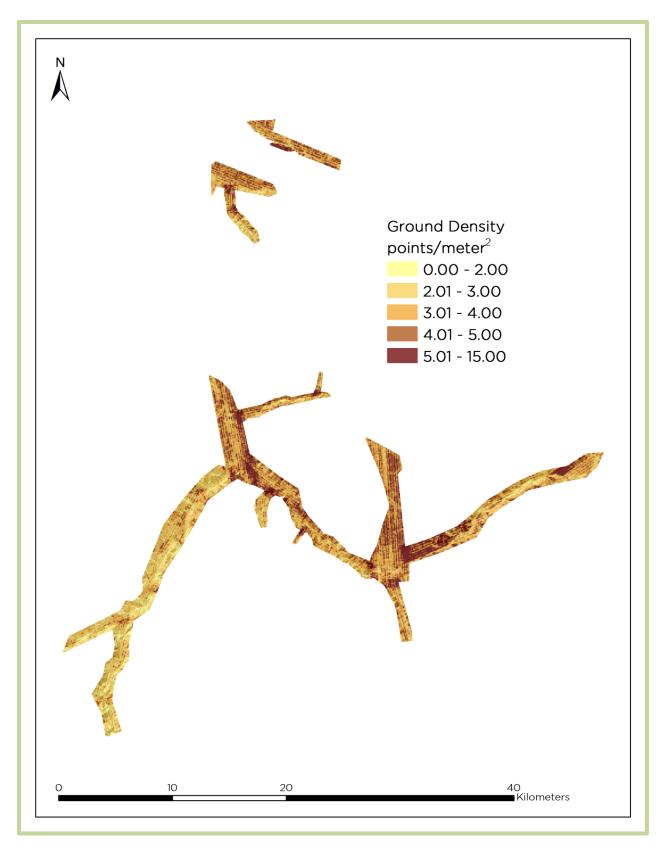


Figure 7: Ground density map for the Ravalli County LiDAR - Phase III site

LiDAR Accuracy Assessments

The accuracy of the LiDAR data collection can be described in terms of *absolute accuracy* - the consistency of the data with external data sources, and *relative accuracy* - the consistency of the dataset with itself. See Appendix A and B for further information on sources of error and operational measures used to improve relative accuracy.

LiDAR Absolute Accuracy

Vertical absolute accuracy was primarily assessed from RTK ground check point (GCP) data collected on open, bare earth surfaces with level slope (<20°). Fundamental Vertical Accuracy (FVA) reporting is designed to meet guidelines presented in the National Standard for Spatial Data Accuracy (FGDC, 1998). FVA compares known RTK ground survey check points to the triangulated ground surface generated by the LiDAR points. FVA is a measure of the accuracy of LiDAR point data in open areas where the LiDAR system has a "very high probability" of measuring the ground surface and is evaluated at the 95% confidence interval (1.96σ).

Absolute accuracy is described as the mean and standard deviation (sigma σ) of divergence of the ground surface model from ground survey point coordinates. These statistics assume the error for x, y, and z is normally distributed, and therefore the skew and kurtosis of distributions are also considered when evaluating error statistics. For the Ravalli County LiDAR - Phase III survey, 1458 RTK points were collected in total resulting in an average accuracy of 0.004 feet (Table 10, Figure 8).

Table 10: Absolute and relative accuracies

	Absolute Accuracy	Relative Accuracy
Sample	1,458 points	46 surfaces
Average	0.004 ft 0.001 m	0.145 ft 0.044 m
Median	0.007 ft 0.002 m	0.156 ft 0.047 m
RMSE	0.092 ft 0.028 m	0.155 ft 0.047 m
1σ	0.092 ft 0.028 m	0.033 ft 0.010 m
2σ	0.181 ft 0.055 m	0.065 ft 0.020 m

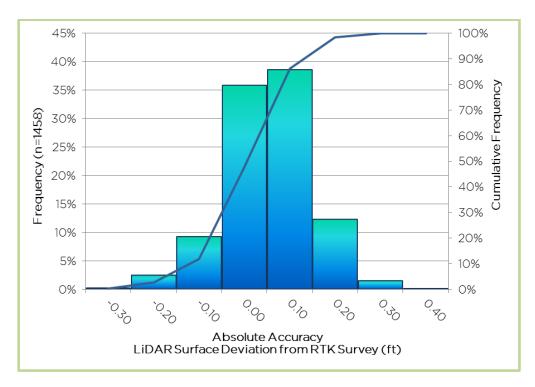


Figure 8: Frequency histogram for LiDAR surface deviation from RTK values

In addition to hard surface RTK, 569 land cover check points were taken throughout the entire study area. Land cover types and descriptions can be referenced in Table 5. Individual accuracies were calculated for each land-cover type to assess confidence in the LiDAR derived ground models across land-cover classes (Table 11).

Table 11: Land cover statistics (in feet) for the Phase III LiDAR area

Land Cover	Sample Size (n)	Mean Dz (feet)	Std. Dev.	1.96 sigma (σ)	RMSE
Asphalt	88	0.040	0.093	0.182	0.101
Cobble	15	0.083	0.268	0.526	0.272
Dirt Road	17	0.079	0.065	0.127	0.101
Evergreen Forest	29	0.058	0.115	0.226	0.127
Gravel	101	0.041	0.156	0.305	0.160
Mowed Grass	28	0.115	0.107	0.210	0.156
Rip Rap	30	-0.576	0.588	1.153	0.816
Sandy Slope	16	-0.114	0.154	0.302	0.188
Short Grass	128	0.149	0.142	0.279	0.205
Tall Grass	20	0.394	0.643	1.261	0.740
Woody Shrubs	97	0.329	0.574	1.125	0.659

LiDAR Relative Accuracy

Relative accuracy refers to the internal consistency of the data set as a whole: the ability to place an object in the same location given multiple flight lines, GPS conditions, and aircraft attitudes. When the LiDAR system is well calibrated, the swath-to-swath divergence is low (<0.10 meters). The relative accuracy is computed by comparing the ground surface model of each individual flight line with its neighbors in overlapping regions. The average relative accuracy for the Ravalli County LiDAR - Phase III was 0.145 feet (Table 10, Figure 9).

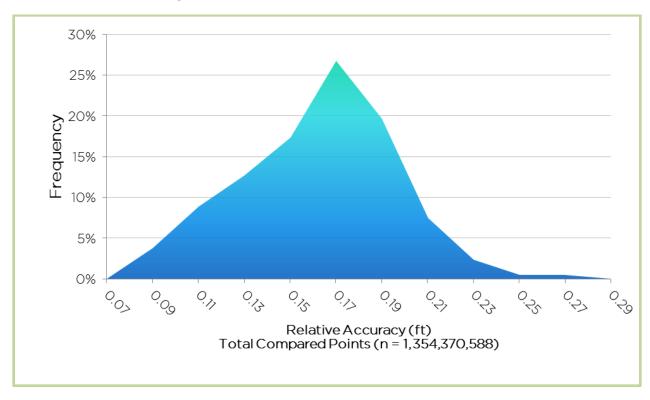


Figure 9: Frequency plot for relative accuracy between flight lines

CERTIFICATIONS



Watershed Sciences, Inc. - Ravalli County Phase III, MT November 29, 2012

River Design Group, Inc. has completed the required control survey processing to establish horizontal and vertical coordinates and FEMA sample points for the Ravalli County Phase III, Montana LiDAR Acquisition.

The following established positions were utilized in this survey.

NGS CORS (continuously operating reference stations)

1. LOLO Located in Lolo, Montana 2. IDNP Located in Grangeville, Idaho 3. PO45 Located in Dillon, MT 4. MTDT Located in Helena, MT

Newly established Control Monuments

1. RVIL_PH3_01 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc."
2. RVIL_PH3_02 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc."
3. RVIL_PH3_03 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc."
4. RVIL_PH3_04 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc."
5. RVIL_PH3_05 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc." 6. RVLI_PH3_06 5/8" X 30" rebar with a 2" Aluminum Cap stamped "Watershed Sciences, Inc."

DATA COLLECTION METHODOLOGY

Utilizing Trimble survey grade GPS receivers, multiple redundant long (> 4 hour) GPS static observations were measured on each primary control point during the LiDAR flight.

STATIC OBSERVATION PROCESSING METHODOLOGY

The static occupations discussed above were processed and adjusted against the four NGS CORS positions utilizing Trimble Business Center Software Version 2.81. These solutions were used to provide survey grade horizontal solutions and geoid03 vertical solutions.

FINAL GEODETIC COORDINATES

Horizontal Datum: North American Datum of 1983 (CORS 2011)

Horizontal Projection: Montana State Plane

Horizontal Units:

NAVD88 North American Vertical Datum of 1988 - Geoid03 Vertical Datum:

Vertical Units: **US Survey Feet**

POINT	NORTH (M)	EAST (M)	ELEV (ussft)	LATITUDE	LONGITUDE	HEIGHT (ussft)
RVLI_PH3_01	226272.639	245377.73	3955.368	46d11'32.81177"	-114d05'55.89078"	3908.110
RVLI_PH3_02	222137.292	242801.81	3832.683	46d09'14.13031"	-114d07'44.47429"	3785.099
RVLI_PH3_03	184176.303	230156.78	3818.942	45d48'21.74702"	-114d15'45.41704"	3771.667
RVLI_PH3_04	187378.758	232434.07	4386.748	45d50'09.79386"	-114d14'09.06809"	4341.898
RVLI_PH3_05	186811.049	252856.07	4301.843	45d50'30.30711"	-113d58'22.43686"	4256.821
RVU PH3 06	187691.674	255648.78	4442.628	45d51'03.93957"	-113d56'15.48520"	4397,594

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SELECTED IMAGES

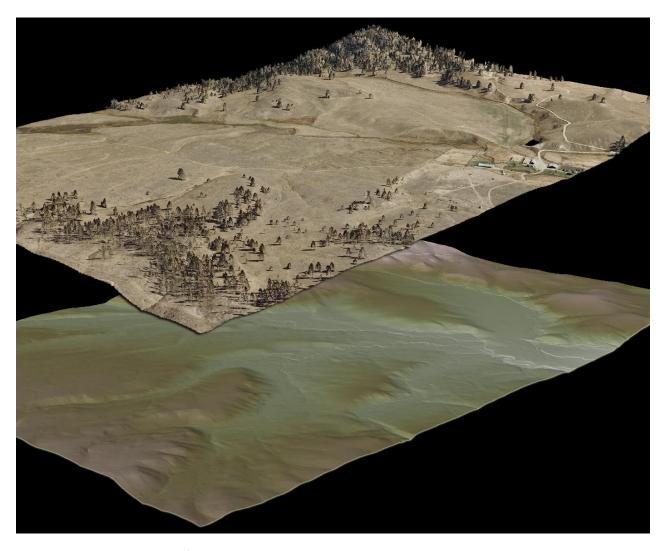


Figure 10: Layered images of Doran Creek. The top image is the LiDAR point cloud draped with NAIP imagery, the bottom image is the bare earth model colored by elevation.

GLOSSARY

<u>1-sigma (σ) Absolute Deviation</u>: Value for which the data are within one standard deviation (approximately 68th percentile) of a normally distributed data set.

<u>1.96-sigma (σ) Absolute Deviation</u>: Value for which the data are within two standard deviations (approximately 95th percentile) of a normally distributed data set.

Root Mean Square Error (RMSE): A statistic used to approximate the difference between real-world points and the LiDAR points. It is calculated by squaring all the values, then taking the average of the squares and taking the square root of the average.

<u>Pulse Rate (PR)</u>: The rate at which laser pulses are emitted from the sensor; typically measured as thousands of pulses per second (kHz).

<u>Pulse Returns</u>: For every laser pulse emitted, the Leica ALS 60 system can record *up to four* wave forms reflected back to the sensor. Portions of the wave form that return earliest are the highest element in multi-tiered surfaces such as vegetation. Portions of the wave form that return last are the lowest element in multi-tiered surfaces.

<u>Accuracy</u>: The statistical comparison between known (surveyed) points and laser points, typically measured as the standard deviation (sigma σ) and root mean square error (RMSE).

<u>Intensity Values</u>: The peak power ratio of the laser return to the emitted laser. It is a function of surface reflectivity.

<u>Data Density</u>: A common measure of LiDAR resolution, measured as points per square meter.

Spot Spacing: Also a measure of LiDAR resolution, measured as the average distance between laser points.

Nadir: A single point or locus of points on the surface of the earth directly below a sensor as it progresses along its flight line.

<u>Scan Angle</u>: The angle from nadir to the edge of the scan, measured in degrees. Laser point accuracy typically decreases as scan angles increase.

<u>Overlap</u>: The area shared between flight lines, typically measured in percent; 100% overlap is essential to ensure complete coverage and reduce laser shadows.

<u>DTM / DEM</u>: These often-interchanged terms refer to models made from laser points. The digital elevation model (DEM) refers to all surfaces, including bare ground and vegetation, while the digital terrain model (DTM) refers only to those points classified as ground.

Real-Time Kinematic (RTK) Survey: GPS surveying is conducted with a GPS base station deployed over a known monument with a radio connection to a GPS rover. Both the base station and rover receive differential GPS data and the baseline correction is solved between the two. This type of ground survey is accurate to 1.5 cm or less.

APPENDIX A

Laser Noise

For any given target, laser noise is the breadth of the data cloud per laser return (i.e., last, first, etc.). Lower intensity surfaces (roads, rooftops, still/calm water) experience higher laser noise. The laser noise range for this survey was approximately 0.02 meters.

Relative Accuracy

Relative accuracy refers to the internal consistency of the data set - the ability to place a laser point in the same location over multiple flight lines, GPS conditions, and aircraft attitudes. Affected by system attitude offsets, scale, and GPS/IMU drift, internal consistency is measured as the divergence between points from different flight lines within an overlapping area. Divergence is most apparent when flight lines are opposing. When the LiDAR system is well calibrated, the line-to-line divergence is low (<10 cm).

Relative Accuracy Calibration Methodology

<u>Manual System Calibration</u>: Calibration procedures for each mission require solving geometric relationships that relate measured swath-to-swath deviations to misalignments of system attitude parameters. Corrected scale, pitch, roll and heading offsets were calculated and applied to resolve misalignments. The raw divergence between lines was computed after the manual calibration was completed and reported for each survey area.

<u>Automated Attitude Calibration</u>: All data were tested and calibrated using TerraMatch automated sampling routines. Ground points were classified for each individual flight line and used for line-to-line testing. System misalignment offsets (pitch, roll and heading) and scale were solved for each individual mission and applied to respective mission datasets. The data from each mission were then blended when imported together to form the entire area of interest.

<u>Automated Z Calibration</u>: Ground points per line were used to calculate the vertical divergence between lines caused by vertical GPS drift. Automated Z calibration was the final step employed for relative accuracy calibration.

Absolute Accuracy

The vertical accuracy of LiDAR data is described as the mean and standard deviation (sigma σ) of divergence of LiDAR point coordinates from RTK ground survey point coordinates. To provide a sense of the model predictive power of the dataset, the root mean square error (RMSE) for vertical accuracy is also provided. These statistics assume the error distributions for x, y, and z are normally distributed, thus we also consider the skew and kurtosis of distributions when evaluating error statistics.

APPENDIX B

LiDAR accuracy error sources and solutions:

Type of Error	Source	Post Processing Solution		
GPS	Long Base Lines	None		
(Static/Kinematic) Relative Accuracy	Poor Satellite Constellation	None		
	Poor Antenna Visibility	Reduce Visibility Mask		
	Poor System Calibration	Recalibrate IMU and sensor offsets/settings		
	Inaccurate System	None		
Laser Noise	Poor Laser Timing	None		
	Poor Laser Reception	None		
	Poor Laser Power	None		
	Irregular Laser Shape	None		

Operational measures taken to improve relative accuracy:

<u>Low Flight Altitude</u>: Terrain following is employed to maintain a constant above ground level (AGL). Laser horizontal errors are a function of flight altitude above ground (i.e., $\sim 1/3000^{th}$ AGL flight altitude).

<u>Focus Laser Power at narrow beam footprint</u>: A laser return must be received by the system above a power threshold to accurately record a measurement. The strength of the laser return is a function of laser emission power, laser footprint, flight altitude and the reflectivity of the target. While surface reflectivity cannot be controlled, laser power can be increased and low flight altitudes can be maintained.

<u>Reduced Scan Angle</u>: Edge-of-scan data can become inaccurate. The scan angle was reduced to a maximum of $\pm 15^{\circ}$ from nadir, creating a narrow swath width and greatly reducing laser shadows from trees and buildings.

Quality GPS: Flights took place during optimal GPS conditions (e.g., 6 or more satellites and PDOP [Position Dilution of Precision] less than 3.0). Before each flight, the PDOP was determined for the survey day. During all flight times, a dual frequency DGPS base station recording at 1—second epochs was utilized and a maximum baseline length between the aircraft and the control points was less than 19 km (11.5 miles) at all times.

<u>Ground Survey</u>: Ground survey point accuracy (i.e. <1.5 cm RMSE) occurs during optimal PDOP ranges and targets a minimal baseline distance of 4 miles between GPS rover and base. Robust statistics are, in part, a function of sample size (n) and distribution. Ground survey RTK points are distributed to the extent possible throughout multiple flight lines and across the survey area.

50% Side-Lap (100% Overlap): Overlapping areas are optimized for relative accuracy testing. Laser shadowing is minimized to help increase target acquisition from multiple scan angles. Ideally, with a 50% side-lap, the most nadir portion of one flight line coincides with the edge (least nadir) portion of overlapping flight lines. A minimum of 50% side-lap with terrain-followed acquisition prevents data gaps.

<u>Opposing Flight Lines</u>: All overlapping flight lines are opposing. Pitch, roll and heading errors are amplified by a factor of two relative to the adjacent flight line(s), making misalignments easier to detect and resolve.